

June 15, 2015

THE HONORABLE ANDREW CUOMO  
Governor

THE HONORABLE JOHN FLANAGAN  
Temporary President, Majority Leader and Coalition Leader

THE HONORABLE JEFFREY D. KLEIN  
Senate Coalition Leader and Independent Conference Leader

THE HONORABLE CARL HEASTIE  
Assembly Speaker

THE HONORABLE ANDREA STEWART-COUSINS  
Democratic Conference Leader

THE HONORABLE BRIAN M. KOLB  
Assembly Minority Leader

Governor and Members of the Legislature:

I am pleased to submit to you the New York State Commission of Correction Annual Report for the year **2014**.

The Commission continues to stand by its mission to provide a safe, stable and humane correctional system in New York State. Governor Andrew Cuomo's commitment to the improvement of the correctional system in New York and his support of corrections professionals throughout the state gives the Commission added leverage to further its mission.

The statutory mandate of the State Commission of Correction is a broad and multi-faceted one. The Commission has continuously carried out its mandate effectively and efficiently, and stands out in the correctional arena as an innovator and provider of quality services to New York State.

The Commission's commitment to the correctional community and the people of New York State will remain strong. The agency looks forward to continuing to serve the best interests of both.

Respectfully,



Thomas A. Beilein  
Chairman

cc: Terry O'Leary  
Deputy Secretary for Public Safety

## **HISTORY**

The State Commission of Correction is the current-day embodiment of the New York State Commission of Prisons, which was established in July of 1895. The Commission of Prisons was meant to function as an autonomous body for the sole purpose of monitoring all detention and correctional facilities within the State.

While the State Commission of Correction essentially functioned in its original capacity for almost eighty years, in the 1970's, the Commission underwent a series of administrative and legal changes that significantly affected its mission in the correctional field.

As the State Commission of Correction's autonomy and mission were expanded and made more credible, the Governor and Legislature also restructured the agency's administration. A full-time, three member Commission was established, appointed by the Governor with the advice and consent of the Senate. The Citizen's Policy and Complaint Review Council (CPCRC), consisting of nine gubernatorial appointed positions, of which seven are currently filled, was also established, and the Medical Review Board was reorganized.

## **CORRECTIONAL OVERSIGHT LEGAL AUTHORITY**

Correctional facilities in New York State operate pursuant to a body of carefully formulated constitutional and statutory law and regulations. The State Commission of Correction's legal authority is derived from the following:

- County Law, Article 5, Section 217: "Each county shall continue to maintain a county jail as prescribed by law."
- Correction Law, Article 6: Management of state correctional facilities.
- Correction Law, Article 20: Statutory provisions governing New York State penitentiaries and jails.
- Article XVII, Section 5 of the New York State Constitution provides that there shall be a State Commission of Correction, which shall visit and inspect all institutions used for the detention of sane adults charged with or convicted of crime.
- Correction Law, Article 3, establishes the Commission and its oversight and regulatory powers. Section 45(6) of the Correction Law provides for the State Commission of Correction to promulgate rules and regulations establishing minimum standards for the care, custody, correction, treatment, supervision, discipline, and other correctional programs for all persons confined in correctional facilities for adults and correctional facilities for juvenile offenders.
- Title 9, Subtitle AA of the Official Compilation of Codes, Rules and Regulations of the State of New York contains the minimum standards and regulations for the management of correctional facilities, as promulgated by the State Commission of Correction.

## **ORGANIZATIONAL AND STATUTORY AUTHORITY**

The New York State Commission of Correction is an Executive Department correctional oversight and technical services agency first established in the New York State Constitution (Article XVII) in 1895. The Commission's services are delivered to all state and county correctional facilities, police department detention and facilities in New York City. Its organization, functions, powers and duties are set forth in New York State Correction Law, Article 3.

The Commission's duties include:

- advising the Governor on correctional policy;
- promulgation of rules and regulations establishing minimum standards for the care, custody, treatment, supervision and discipline for all persons confined in correctional facilities;
- ensuring compliance with minimum standards;
- monitoring, adjusting and enhancing prison and jail population capacity;
- reviewing all inmate grievances, complaints, and reportable incidents;
- overseeing new correctional facility development;
- providing staffing services;
- assisting in the implementation of new correctional technologies;
- review of inmate mortality;
- evaluation and development of health and mental health services; and
- overseeing secure juvenile centers operated by the NYS Office of Children and Family Services.

The Commission's mission is to provide for a safe, stable and humane correctional system and for the delivery of essential services therein. The three deliberative members of the Commission are appointed by the Governor to statutory terms with the advice and consent of the New York State Senate. One commissioner serves as chair and chief executive officer. The other two commissioners are assigned to head the Medical Review Board and Citizen's Policy and Complaint Review Council respectively, members of which are also appointed to terms by the Governor.

The Commission is primarily a field services agency with 18 Correctional Facility Specialists in its Field Operations Bureau and Forensic Medical Unit. Legal, executive and support services complete the agency's current staff complement of 25. The present staff represents a reorganized approach to the agency mission associated with a downsizing and streamlining of operations.

In summary, the Commission is a small, specialized criminal justice service agency supporting the statewide correctional community, working on behalf of the Governor to professionalize and enhance the quality of corrections in New York.

## MEDICAL REVIEW BOARD

The Medical Review Board (MRB) was established by the Legislature in 1972 to investigate deaths in correctional facilities within the state and to make recommendations for improving the delivery of health care to detainees and sentenced offenders.

Section 46 of the Correction Law provides for an organized Correction Medical Review Board within the State Commission of Correction. The Board must consist of two physicians who are board certified respectively in forensic pathology and forensic psychiatry, another physician, an attorney admitted to the New York Bar, and two at-large members, all appointed by the Governor to statutory terms with advice and consent of the Senate.

Section 47 of the Correction Law empowers the Board to:

“(1.) (a) Investigate and review the cause and circumstances of the death of any inmate of a correctional facility and,

(1.) (e) Investigate and report to the Commission on the condition of systems for the delivery of medical care to inmates of correctional facilities and where appropriate, recommend such changes as it shall deem necessary and proper to improve the quality and availability of such medical care.”

The Commission and the Medical Review Board pursue the following objectives:

- Conduct timely and thorough investigations of all mortality and serious incident cases in the correctional system;
- Develop and administer cost-effective plans, projects, and programs to address identified problems in the health care delivery of all correctional facilities; and
- Maintain continuous oversight of correctional health care trends by substantive response to inmate health care grievances and review of Medical Review Board recommendations.

The Medical Review Board meets on a quarterly basis. The 2014 MRB members included:

Phyllis Harrison-Ross, M.D., Chairperson  
Michael Baden, M.D.  
Robert Kurtz, M.D.  
Bruce David, M.D.  
Scott S. Coyne, M.D.  
Marjorie Blieka, M.D.  
Allison Bishop, Esq.

## **CITIZEN'S POLICY AND COMPLAINT REVIEW COUNCIL**

The Council was established by the Legislature to address the need for increased public participation in the oversight and regulation of local correctional facilities. Its mandate includes: improving conditions in local correctional facilities, overseeing the inmate complaint and grievance process, and advising the Commission.

The Council is comprised of nine members appointed by the Governor with advice and consent of the Senate. The statute requires that one member shall have been a Vietnam veteran, or a licensed mental health professional with expertise in Post-traumatic Stress Disorder, an attorney,

a former inmate of a correctional facility, a former Office of Children and Family Services (OCFS) resident, and a former OCFS employee.

The Citizen's Policy and Complaint Review Council (CPCRC) convenes monthly. The 2014 CPCRC members included:

Thomas Loughren, Chair  
Thomas Cross

Nicholas LaBella, Jr.  
Douglas Landon, Esq.  
Julie Scalione, Esq.

**FIELD OPERATIONS MANAGEMENT AND SUPERVISORY STAFF  
2014**

**RICHARD KINNEY**

Director

**Vacant**

Deputy Director of Operations

STEVEN SAVOY  
Field Supervisor  
Hudson-Lakes

WILLIAM BENJAMIN  
Field Supervisor  
Metro South-Central

CHRIS OST  
Field Supervisor  
Forensics Unit

TERRY MORAN  
Field Supervisor  
Capital-West

**FIELD OPERATIONS  
MISSION DIRECTED PROGRAMS**

Facility Monitoring, Evaluations and Incidents

Healthcare, Mental Health and Suicide Prevention

Inmate Complaints and Grievances

Capacity Management, Staffing Services Technical Assistance

Facilities Development and Operational Transition

Compliance Monitoring for the Handling and Processing of Juveniles

Regulatory Oversight of Secure Facilities Operated by the Office of Children and Family

Services (OCFS)

## **BUREAU OF FIELD OPERATIONS**

The Bureau of Field Operations has primary responsibility for performing the Commission's agency functions. Among them are correctional facility compliance assessments, incident reviews and investigations, mortality investigations, health system evaluations, inmate grievance and complaint review, facility planning and a variety of technical assistance.

The Bureau of Field Operations operates throughout the correctional systems of New York State. These systems include all state, county, and New York City correctional facilities, as well as local police department lockups and those secure facilities operated by the New York State Office of Children of Family Services. In 2010, the Bureau of Field Operations was organized into three regions: Hudson Lakes, Capital West, and Metro South-Central.

### **Assessment/Monitoring Field Work, Incidents, Complaints & Grievances**

Field staff based in both Albany and New York City conducted on-site visits to various state and local correctional facilities. This fieldwork included assessing facility operational compliance with the Commission's minimum standards, monitoring unusual conditions (e.g. disturbances, strikes, job actions, unstable conditions), evaluating management practices, preparing comprehensive reports and providing technical assistance to facility administrators. In the event that issues of non-compliance remain unresolved, the Commission has recourse through the courts.

### **Individual Facility Plan Development: Minimum Standards Compliance Assessment**

Commission field staff begins each calendar year by preparing an Individual Facility Plan (IFP) for each of their assigned county correctional facilities. The IFP is best described as a 12-month comprehensive facility work plan. This plan can follow several different paths depending upon the compliance status of the particular facility. An IFP may include assessing the compliance of six to twelve standards, or it may call for field staff to focus more intensely on a limited number of standards that have proven problematic for the jail over an extended period. In such circumstances, the onsite work is more concentrated and requires even greater collaboration between field staff and facility officials in order to identify mutually acceptable remedial strategies.

By deploying staff resources in this fashion, the Commission believes it can be more successful in its mission to assist all county facilities in attaining substantial compliance with 9 NYCRR. To ensure that no parts of New York State Minimum Standards are overlooked for extended periods, the Commission's IFP model requires field staff assess each standard at least once every five years, regardless of the facility's overall compliance status.

In addition to the compliance assessment activities described above, field staff also schedule regular follow-up site reviews and provide various forms of technical assistance to facilities throughout the year. These additional forms of monitoring activities serve to augment the more standardized compliance assessment work. Staff periodically conducts unannounced site visits to facilities as a way of more closely monitoring operational competencies. Such visits also provide staff with another window into a facility's climate and physical plant conditions. Finally, Commission staff makes every effort to maintain an open and ongoing dialog with facility management throughout the year. Such sustained communication helps to ensure that field staff has an accurate understanding of the day-to-day operational challenges confronting local facility management and staff.

Whenever issues of non-compliance are identified, Commission staff brings their findings to the attention of the sheriff, chief administrative officer or responsible county commissioner. Commission staff prepares reports that outline non-compliance findings and specific remedial action(s) the facility is expected to implement. Staff periodically recommends actions that can help to ameliorate certain operational inefficiencies. Commission staff schedule frequent follow-up site visits to facilities as a means of ensuring that any necessary remedial steps have been properly implemented. The following list represents the New York State Minimum Standards (9 NYCRR) pertaining to the management of county correctional facilities:

- |                                       |   |
|---------------------------------------|---|
| Part 7002, Admissions                 | Part 7016, Commissary & Inmate Accounts     |
| Part 7003, Security and Supervision   | Part 7017, Personnel Standards              |
| Part 7004, Correspondence             | Part 7019, Gifts and Gratuities             |
| Part 7005, Prisoner Personal Hygiene  | Part 7022, Reportable Incidents             |
| Part 7006, Discipline                 | Part 7023, Access to Media                  |
| Part 7007, Good Behavior              | Part 7024, Religion                         |
| Part 7008, Visitation                 | Part 7025, Packages                         |
| Part 7009, Food Services              | Part 7026, Printed Materials & Publications |
| Part 7010, Health Services            | Part 7028, Exercise                         |
| Part 7013, Classification             | Part 7030, Nondiscriminatory Treatment      |
| Part 7015, Sanitation                 |   |
|                                       | Part 7050, Variances                        |
| Part 7031, Legal Services             | Part 7051, Funeral and Deathbed Visits      |
| Part 7032, Grievance Program          | Part 7063, Chemical Agents                  |
| Part 7033, Transfer of Records        | Part 7064, Human Immunodeficiency           |
| Part 7039, Fire Prevention and Safety | Information Confidentiality                 |
| Part 7040, Maximum Facility Capacity  | Part 7070, Educational Services for Youth   |
| Part 7041, Staffing Requirements      |   |

The following is a list of those county facilities at which Commission staff conducted on-site compliance assessments in 2014, including the specific standards reviewed. Also listed are the notifications of violation issued to county facilities in 2014. These notifications are typically issued upon a finding of significant or chronic non-compliance at a time other than the scheduled compliance review.

### **Albany County Jail**

#### **Compliance assessment conducted for the following:**

- Part 7002, Admissions
- Part 7006, Discipline
- Part 7009, Food Services
- Part 7013, Classification
- Part 7015, Sanitation
- Part 7016, Commissary & Inmate Accounts
- Part 7019, Gifts and Gratuities
- Part 7022, Reportable Incidents
- Part 7023, Access to Media
- Part 7028, Exercise
- Part 7030, Nondiscriminatory Treatment
- Part 7032, Grievance Program
- Part 7033, Transfer of Records
- Part 7063, Chemical Agents



**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

None

**Allegany County Jail**

**Compliance assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

Part 7003, Security and Supervision  
Part 7006, Discipline  
Part 7016, Commissary & Inmate Accounts  
Part 7028, Exercise

**Other Compliance Activity:**

None

**Broome County Jail**

**Compliance assessment conducted for the following:**

Part 7005, Prisoner Personal Hygiene  
Part 7017, Personnel Standards  
Part 7019, Gifts and Gratuities  
Part 7028, Exercise  
Part 7031, Legal Services  
Part 7039, Fire Prevention and Safety  
Part 7051, Funeral and Deathbed Visits

**Follow up compliance status assessment conducted for the following:**

Part 7003, Security and Supervision  
Part 7013, Classification

**Other Compliance Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment

**Cattaraugus County Jail**

**Compliance assessment conducted for the following:**

Part 7006, Discipline  
Part 7008, Visitation  
Part 7009, Food Services  
Part 7015, Sanitation  
Part 7025, Packages  
Part 7028, Exercise  
Part 7039, Fire Prevention and Safety  
Part 7070, Educational Services for Youth

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment  
500-b Approval 1st Occurrence  
500-b Approval 2<sup>nd</sup> Occurrence  
500-b Approval 3rd Occurrence  
See Staffing

**Cayuga County Jail**

**Compliance assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

None

**Chautauqua County Jail**

**Compliance assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

Notice of Medical Services Violation – Part 7010, Health Services Sections 7010.2(j) and  
7010.3(c)

**Chemung County Jail**

**Compliance assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

Part 7016, Commissary & Inmate Accounts  
Part 7026, Printed Materials & Publications

**Other Compliance Activity:**

None

**Chenango County Jail**

**Compliance Assessment conducted for the following:**

Part 7003, Security and Supervision  
Part 7008, Visitation  
Part 7009, Food Services

Part 7015, Sanitation

**Follow up compliance status assessment conducted for the following:**

None

**Other Activities:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment  
See Capacity Management

**Clinton County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

Part 7003, Security and Supervision  
Part 7005, Prisoner Personal Hygiene  
Part 7008, Visitation  
Part 7009, Food Services  
Part 7013, Classification  
Part 7015, Sanitation  
Part 7031, Legal Issues  
Part 7032, Grievances  
Part 7070, Educational Services for Youth

**Other Compliance Activity:**

None

**Columbia County Jail**

**Compliance Assessment conducted for the following:**

Part 7003, Security and Supervision  
Part 7004, Correspondence  
Part 7005, Prisoner Personal Hygiene  
Part 7008, Visitation  
Part 7016, Commissary & Inmate Accounts  
Part 7019, Gifts and Gratuities  
Part 7023, Access to Media  
Part 7024, Religion  
Part 7026, Printed Materials & Publications  
Part 7028, Exercise  
Part 7030, Nondiscriminatory Treatment  
Part 7031, Legal Services  
Part 7032, Grievance Program  
Part 7033, Transfer of Records  
Part 7040, Maximum Facility Capacity  
Part 7051, Funeral and Deathbed Visits  
Part 7070, Educational Services for Youth

**Follow up compliance status assessment conducted for the following:**

Part 7003, Security and Supervision

Part 7004, Correspondence  
Part 7005, Prisoner Personal Hygiene  
Part 7028, Exercise

**Other Compliance Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment

**Cortland County Jail**

**Compliance Assessment conducted for the following:**

Part 7003, Security and Supervision  
Part 7009, Food Service  
Part 7015, Sanitation  
Part 7019, Gifts and Gratuities  
Part 7031, Legal Services  
Part 7039, Fire Prevention and Safety  
Part 7040, Maximum Facility Capacity  
Part 7051, Funeral and Deathbed Visits  
Part 7063, Chemical Agents

**Follow up compliance status assessment conducted for the following:**

Part 7003, Security and Supervision  
Part 7009, Food Service  
Part 7063, Chemical Agents

**Other Compliance Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment  
See Capacity Management  
See Staffing

**Delaware County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

Part 7002, Admissions  
Part 7003, Security and Supervision  
Part 7006, Discipline  
Part 7009, Food Services  
Part 7013, Classification  
Part 7015, Sanitation  
Part 7024, Religion  
Part 7025, Packages  
Part 7030, Non-discriminatory Treatment  
Part 7041, Staffing  
Part 7033, Transfer of Records

**Other Compliance Activity:**

None

**Dutchess County Jail**

**Compliance assessment conducted for the following:**

*(Conducted in 2013 and reported in 2014)*

Part 7003, Security and Supervision

Part 7015, Sanitation

Part 7023, Access to Media

Part 7026, Printed Materials and Publications

Part 7063, Chemical Agents

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

See NITAP

**Erie County Holding Center**

**Compliance Assessment conducted for the following:**

Part 7006, Discipline

Part 7008, Visitation

Part 7009, Food Services

Part 7013, Classification

Part 7017, Personnel Standards

Part 7019, Gift and Gratuities

Part 7022, Reportable Incidents

Part 7023, Access to Media

Part 7031, Legal Services

Part 7032, Grievances

Part 7033, Transfer of Records

**Follow up compliance status assessment conducted for the following:**

No follow-up compliance activity

**Other Compliance Activity:**

See NITAP

**Erie County Correctional Facility**

Part 7006, Discipline

Part 7008, Visitation

Part 7009, Food Services

Part 7013, Classification

Part 7017, Personnel Standards,

Part 7019, Gifts and Gratuities

Part 7022, Reportable Incidents

Part 7023, Access to Media

Part 7031, Legal Services

Part 7032, Grievances

Part 7033, Transfer of Records

**Follow up compliance status assessment conducted for the following:**

No follow-up compliance activity

**Other Compliance Activity:**

None

**Essex County Jail**

**Compliance Assessment conducted for the following:**

Part 7002, Admissions  
Part 7007, Good Behavior  
Part 7013, Classification  
Part 7015, Sanitation  
Part 7025, Packages,  
Part 7039, Fires Prevention and Safety  
Part 7051, Funeral and Deathbed Visits

**Follow up compliance status assessment conducted for the following:**

Part 7003, Security and Supervision  
Part 7028, Exercise

**Other Compliance Activity:**

None

**Franklin County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

500-b Approval 1st Occurrence  
See Staffing

**Fulton County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

Part 7016, Commissary & Inmate Accounts

**Other Compliance Activity:**

500-b Approval 1<sup>st</sup> Occurrence  
  
500-b Approval 2<sup>nd</sup> Occurrence  
500-b Approval 3<sup>rd</sup> Occurrence

**Genesee County Jail**

**Compliance Assessment conducted for the following:**

- Part 7002, Admissions
- Part 7004, Correspondence
- Part 7008, Visitation
- Part 7013, Classification
- Part 7015, Sanitation
- Part 7017, Personnel Standards
- Part 7031, Legal Services
- Part 7032, Grievance Program

**Follow up compliance status assessment conducted for the following:**

*(This follow-up work pertained to findings from the 2013 assessment.)*

- Part 7003, Security and Supervision
- Part 7006, Discipline

**Other Compliance Activity:**

None

**Greene County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Activity**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment  
See Capacity Management

**Hamilton County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

None

**Herkimer County Jail**

**Compliance Assessment conducted for the following:**

- Part 7003, Security and Supervision
- Part 7008, Visitation
- Part 7009, Food Services
- Part 7015, Sanitation
- Part 7028, Exercise
- Part 7033, Transfer of Records

Part 7040, Maximum Facility Capacity

**Follow up compliance status assessment conducted for the following:**

Part 7003, Security and Supervision  
Part 7006, Discipline  
Part 7008, Visitation  
Part 7009, Food Services  
Part 7028, Exercise  
Part 7070, Educational Services for Youth

**Other Compliance Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment  
See NITAP

**Jefferson County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

Part 7006, Discipline

**Other Activity:**

See Capacity Management

**Lewis County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

None

**Livingston County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

See Staffing

**Madison County Jail**

**Compliance Assessment conducted for the following:**

*(Compliance assessment conducted in 2013 and reported in 2014)*



Part 7013, Classification  
Part 7017, Personnel Standards  
Part 7019, Gifts and Gratuities  
Part 7022, Reportable Incidents  
Part 7023, Access to Media  
Part 7032, Grievance Program

**Follow up compliance status assessment conducted for the following:**

Part 7013, Classification  
Part 7022, Reportable Incidents  
Part 7032, Grievance Program

**Other Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment  
See Capacity Management  
See Staffing

**Monroe County Jail**

*(Compliance assessment conducted in 2013 and reported in 2014)*

Part 7017, Personnel Standards  
Part 7022, Reportable Incidents  
Part 7051, Funeral and Deathbed Visits.

**Follow up compliance status assessment conducted for the following:**

Part 7051, Funeral and Deathbed Visits.

**Other Compliance Activity:**

None

**Monroe County Penitentiary**

Part 7017, Personnel Standards  
Part 7022, Reportable Incidents  
Part 7051, Funeral and Deathbed Visits.

**Follow up compliance status assessment conducted for the following:**

Part 7051, Funeral and Deathbed Visits.

**Other Activity:**

None

**Montgomery County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

None

**Nassau County Correctional Facility**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

See Capacity Management

**Niagara County Jail**

**Follow up compliance status assessment conducted for the following:**

*(Compliance assessment conducted in 2013 and reported in 2014)*

Part 7007, Good Behavior

Part 7009, Food Services

Part 7017, Personnel Standard,

Part 7022, Reportable Incidents

Part 7024, Religion

**Follow up compliance status assessment conducted for the following:**

Part 7070, Educational Services for Youth

**Other Compliance Activity:**

See Staffing

**Oneida County Jail**

**Compliance Assessment conducted for the following:**

Part 7003, Security and Supervision

Part 7006, Discipline

Part 7019, Gifts and Gratuities

Part 7033, Transfer of Records

Part 7051, Funeral and Deathbed Visits

Part 7063, Chemical Agents

Part 7070, Educational Services for Youth

**Follow up compliance status assessment conducted for the following:**

Part 7003, Security and Supervision

Part 7017, Personnel Standards

Part rD09, Food Services

Part 7015, Sanitation

Part 7039, Fire Prevention and Safety

Part 7070, Educational Services for Youth

**Other Compliance Activity:**

None

**Onondaga Justice Center**

**Compliance Assessment conducted for the following:**

Part 7003, Security and Supervision  
Part 7004, Correspondence  
Part 7006, Discipline  
Part 7009, Food Services  
Part 7015, Sanitation  
Part 7017, Personnel Standards  
Part 7019, Gifts and Gratuities  
Part 7022, Reportable Incidents  
Part 7024, Religion  
Part 7030, Non-discriminatory Treatment  
Part 7050, Variances

**Follow up compliance status assessment conducted for the following:**

Part 7003, Security and Supervision  
Part 7009, Food Services  
Part 7022, Reportable Incidents

**Other Compliance Activity:**

Notice of Violation Part 7002, Admissions  
Notice of Violation Part 7013, Classification  
Notice of Violation Part 7040 Maximum Facility Capacity

**Onondaga County Penitentiary**

**Compliance Assessment conducted for the following:**

Part 7003, Security and Supervision  
Part 7006, Discipline  
Part 7009, Food Services  
Part 7015, Sanitation  
Part 7019, Gifts and Gratuities  
Part 7022, Reportable Incidents  
Part 7024, Religion  
Part 7050, Variances

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment  
See Staffing

**Ontario County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

500-b Approval 1<sup>st</sup> Occurrence

500-b Approval 2<sup>nd</sup> Occurrence

500-b Approval 3<sup>rd</sup> Occurrence

See NITAP

**Orange County Jail**

**Compliance assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

500-b Approval 1<sup>st</sup> Occurrence

500-b Approval 2<sup>nd</sup> Occurrence

Juvenile Justice and Delinquency Prevention Act Compliance Assessment

**Orleans County Jail**

**Compliance assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Activity:**

Notice of Violation, Part 7041, Staffing (Officer In Charge)

**Oswego County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

None

**Otsego County Jail**

**Compliance Assessment conducted for the following:**

*(compliance assessment was conducted in 2013 and reported in 2014)*

Part 7003, Security and Supervision

Part 7004, Correspondence

Part 7009, Food Services

Part 7015, Sanitation

Part 7017, Personnel Standards  
Part 7022, Reportable Incidents  
Part 7030, Nondiscriminatory Treatment  
Part 7033, Transfer of Records  
Part 7040, Maximum Facility Capacity  
Part 7050, Variances

**Follow up compliance status assessment conducted for the following:**  
None

**Other Compliance Activity:**  
None

**Putnam County Jail**

**Compliance Assessment conducted for the following:**  
None

**Follow up compliance status assessment conducted for the following:**  
None

**Other Compliance Activity:**  
None

**Rensselaer County Jail**

**Compliance Assessment conducted for the following:**  
*(compliance assessment was conducted in 2013 and reported in 2014)*  
Part 7009, Food Service  
Part 7015, Sanitation  
Part 7022, Reportable Incidents  
Part 7041, Staffing  
Part 7051, Funeral and Deathbed Visits  
Part 7063, Chemical Agents.

**Follow up compliance status assessment conducted for the following:**  
None

**Other Compliance Activity:**  
None

**Rockland County Jail**

**Compliance Assessment conducted for the following:**  
None

**Follow up compliance status assessment conducted for the following:**  
None

**Other Compliance Activity:**  
None

**St. Lawrence County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

None

**Saratoga County Jail**

**Compliance Assessment conducted for the following:**

Part 7003, Security and Supervision  
Part 7005, Prisoner Personal Hygiene  
Part 7007, Good Behavior  
Part 7009, Food Service  
Part 8016, commissary  
Part 7022, Reportable Incidents  
Part 7026, Printed Materials and Publications  
Part 7028, Exercise  
Part 7039, Fire Prevention and Safety  
Part 7070, Educational Services for Youth

**Follow up compliance status assessment conducted for the following:**

Part 7003, Security and Supervision  
Part 7070, Educational Services for Youth

**Other Compliance Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment

**Schenectady County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

None

**Schoharie County Jail**

**Compliance Assessment conducted for the following:**

Part 7041, Staffing Requirements

**Follow up compliance status assessment conducted for the following:**

Part 7041, Staffing Requirements

**Other Compliance Activity:**

See Staffing

Juvenile Justice and Delinquency Prevention Act Compliance Assessment

See NITAP

**Schuyler County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

500-b Approval 1<sup>st</sup> Occurrence

**Seneca County Jail**

**Compliance Assessment conducted for the following:**

Part 7005 Prisoner Personal Hygiene

Part 7007, Good Behavior

Part 7013, Classification

Part 7023, Access to Media

Part 7024, Religious Services

Part 7026, Printed Material and Publications

Part 7030, Non-Discriminatory Treatment

Part 7033, Transfer of Records

Part 7039, Fire Prevention and Safety

Part 7040, Maximum Facility Capacity

Part 7051, Funeral and Deathbed Visits

**Follow up compliance status assessment conducted for the following:**

Part 7007, Good Behavior

Part 7013, Classification

Part 7025, Packages

Part 7030, Non-Discriminatory Treatment

Part 7051, Funeral and Deathbed Visits

**Other Compliance Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment

**Steuben County Jail**

**Compliance Assessment conducted for the following:**

Part 7004, Correspondence

Part 7006, Discipline

Part 7009, Food Service

Part 7013, Classification

Part 7015, Sanitation

Part 7019, Gifts and Gratuities

Part 7025, Packages,  
Part 7028, Exercise  
Part 7030, Non-Discriminatory Treatment  
Part 7040, Maximum Facility Capacity  
Part 7041, Staffing Requirements  
Part 7070, Educational Services for Youth

**Follow up compliance status assessment conducted for the following:**  
Part 7028, Exercise

**Other Compliance Activity:**  
500-b Approval 1<sup>st</sup> Occurrence  
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

**Suffolk County Jail (Riverhead & Yaphank)**  
**Compliance Assessment conducted for the following:**  
None

**Follow up compliance status assessment conducted for the following:**  
*(Assessment of these open issues was conducted in 2013 but reported in 2014)*  
Part 7002, Admissions  
Part 7003, Security and Supervision  
Part 7005, Prisoner Personal Hygiene  
Part 7008, Visitation  
Part 7009 Food Services  
Part 7013, Classification  
Part 7015, Sanitation  
Part 7016, Commissary  
Part 7017, Personal Standards  
Part 7028, Exercise  
Part 7039, Fire Prevention and Safety  
Part 7063, Chemical Agents

**Other Compliance Activity:**  
See Capacity Management  
See NITAP

**Sullivan County Jail**  
**Compliance Assessment conducted for the following:**  
None

**Follow up compliance status assessment conducted for the following:**  
None

**Other Compliance Activity:**  
See NITAP

**Tioga County Jail**  
**Compliance Assessment conducted for the following:**



**None**

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment

**Tompkins County Jail**

**Compliance Assessment conducted for the following:**

**None**

**Follow up compliance status assessment conducted for the following:**

**None**

**Other Compliance Activity:**

**None**

**Ulster County Jail**

**Compliance Assessment conducted for the following:**

Part 7003, Security and Supervision

Part 7008, Visitation

Part 7009, Food Service

Part 7015, Sanitation

Part 7019, Gifts and Gratuities

Part 7022, Reportable Incidents

Part 7063, Chemical Agents

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment

**Warren County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

**None**

**Other Compliance Activity:**

**None**

**Washington County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment

**Wayne County Jail**

**Compliance Assessment conducted for the following:**

Part 7003, Security and Supervision  
Part 7009, Food Service  
Part 7015, Sanitation  
Part 7039, Fire Prevention and Safety  
Part 7041, Staffing Requirements

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment

**Westchester DOC/Westchester Penitentiary**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

Part 7002, Admissions  
Part 7013, Classification

**Other Compliance Activity:**

None

**Wyoming County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

None

**Yates County Jail**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Activity:**

None

**New York City Department of Correction**

**Department-wide Notice of Violation**

Notice of Violation Part 7006, Discipline

**Anna M. Kross Center**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

None

**Brooklyn House of Detention**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

None

**Eric M. Taylor Center**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment

**George Motchen Detention Center**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment

**George R. Vierno Center**

**Compliance Assessment conducted for the following:**

**Follow up compliance status assessment conducted for the following:**

**Other Compliance Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment

**Manhattan Detention Center**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

None

**North Infirmery Command**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

None

**Otis Bantum Correctional Center**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

None

**Robert N. Doraven Center**

**Compliance Assessment conducted for the following:**

Part 7003, Security and Supervision

Part 7006, Discipline

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

JJDPA Compliance Assessment

See Capacity Management

**Rose M. Singer Center**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment

**Vernon C. Bain Center**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

Juvenile Justice and Delinquency Prevention Act Compliance Assessment

**West Facility**

**Compliance Assessment conducted for the following:**

None

**Follow up compliance status assessment conducted for the following:**

None

**Other Compliance Activity:**

None

**County Facility Reportable Incidents**

In fulfilling its oversight and regulatory responsibilities, the Commission requires that all local

correctional facilities report incidents that endanger inmates or staff, or disrupt facility operations. In 2014 the Commission received **2800 Reportable Incidents from county jails** according to the Commission's list of mandatory categories. Incidents are reported around the clock. Every incident report is screened by a Correctional Facility Specialist, logged, and then assigned a file number. These reports are then reviewed to determine whether any further inquiry is necessary and if on-site follow-up is appropriate.

<b>Table I</b>	<b>2014 County Jail Reportable Incidents</b>
<b>CATEGORY</b>	<b># INCIDENTS</b>
<b>ASSAULT</b>	
Inmate on Inmate Assault	<b>560</b>
Inmate/Personnel Assault	<b>195</b>
Inmate/Visitor Assault	<b>0</b>
Personnel/Inmate Assault	<b>2</b>
Visitor/Inmate Assault	<b>0</b>
Inmate Group Assault/Gang Assault	<b>21</b>
Visitor Assault	<b>0</b>
<b>SEX OFFENSE</b>	
Inmate on Inmate Sexual Offense	<b>5</b>
Inmate/Personnel Sexual Assault	<b>2</b>
Inmate/Visitor Sexual Assault	<b>0</b>
Personnel/Inmate Sexual Assault	<b>0</b>
Visitor/Inmate Sexual Assault	<b>0</b>
<b>CONTAGIOUS ILLNESS</b>	
Inmate Contagious Illness	<b>16</b>
Personnel Contagious Illness	<b>0</b>
Group Contagious Illness	<b>0</b>
<b>CONTRABAND</b>	
Inmate Introduced Contraband	<b>444</b>
Personnel Introduced Contraband	<b>5</b>
Visitor Introduced Contraband	<b>71</b>
Unknown Source Contraband	<b>60</b>
Arrest of Security Staff/Civilian Staff Contraband	<b>0</b>
<b>DEATH</b>	
Inmate Death (County and NYCDOC)	<b>45</b>
Personnel Death	<b>0</b>
Visitor Death	<b>0</b>
Newborn/Infant Death	<b>0</b>
<b>GENERAL INCIDENT DISRUPTION</b>	
Major Maintenance/Service Disruption	<b>11</b>
<b>GENERAL INCIDENT DISTUBANCES</b>	

Major Disturbance	2
Minor Disturbance	31
Individual Inmate Disturbance	726
<b>GENERAL INCIDENT NATURAL/CIVIL</b>	
Natural/Civil Emergency	1
Declared County/State Emergency	0
Evacuation (All or a portion of the facility)	0
<b>GENERAL INCIDENT ESCAPE/ABSCONDENCE/ERRONEOUS RELEASE</b>	
Escape County Supervision	3
Escape Other Agency Supervision	0
Attempted Escape	10
Abscondence	0
Erroneous Release	4
<b>GENERAL INCIDENT FIRE</b>	
Fire/Arson	2
Fire/Non-Arson	1
Fire/Unknown Source	0
<b>DISCHARGE OF FIREARM</b>	
Discharge of Firearm	0
<b>GROUP ACTION</b>	
Inmate Group Action	3
Personnel Group Action	0
<b>HOSTAGE SITUATION</b>	
Hostage Situation	0
<b>PHYSICAL INJURY/HOSPITALIZATION</b>	
Inmate Attempted Suicide	123
Inmate Self-Inflicted Injury	92
Inmate Accidental Injury	39
Personnel Accidental Injury	3
Hospital Admission of Inmate	271
Release of Hospitalized Inmate	0
<b>TOTAL</b>	<b>2800</b>

**Office of Children and Family Services' Facility Reportable Incidents**

Pursuant to New York State Correction Law, §§45 [6-b] and 47 [2], the five (5) secure centers operated by the New York State Office of Children and Family Services (OCFS) are required to

report to the State Commission of Correction all incidents deemed to be of a serious or potentially problematic nature. Reports are received by Commission staff twenty-four hours a day, seven days a week. Each individual report is reviewed by a facility specialist, assigned a tracking number and then logged into the Commission's OCFS incident data base. While any one incident may involve more than one category type, the table below lists only the major categories as defined by SCOC. Following the initial review, the Commission then determines if additional information should be gathered or if the incident merits an on-site investigation. Both major and sub-categories are considered when determining the appropriate follow-up action by Commission staff.

<b>Table II: 2014 OCFS Secure Center Reportable Incidents - SCOC Primary Categories</b>	
<b>CATEGORY</b>	<b># INCIDENTS</b>
Assaults on Resident(s) by Resident(s) AOR	332
Assaults on Staff by Resident(s) AOS	206
Contraband Controlled Substance	10
Contraband (other)	43
Contraband (Weapon)	9
Resident Criminal Arrest	11
Destruction of Property	58
Facility Discipline Action	523
Emergency Room Treatment	171
Hospitalization	6
Individual Resident Disturbance	299
Minor Resident Disturbance	306
Physical Restraint Applied	163
Resident Accidental Injury	56
Resident Group Disturbance	50
Resident Incident Injury	153
Staff Accidental Injury	41
Staff Incident Injury	152
<b>TOTAL</b>	<b>2589</b>

**Local Police Reportable Incidents**

Pursuant to Correction Law, §45[6], local police departments operating lockups are required to report to the Commission those incidents deemed to be of an unusual nature which may



endanger detainees, law enforcement staff, or otherwise disrupt facility operations. In 2014, the Commission received 738 reportable incidents from local police agencies. Reportable incidents are received around the clock. Every incident report is reviewed by a Facility Specialist, assigned a tracking number and logged. Based upon the initial review, it is then determined if additional inquiry, technical assistance, or an on-site investigation is necessary.

<b>Table III: 2014 Local Police Reportable Incidents</b>	
<b>CATEGORY</b>	<b># INCIDENTS</b>
Suicides	0
Attempted Suicide	19
Detainee to Hospital	602
Other	117
<b>TOTAL</b>	<b>738</b>

## **Complaints**

Complaints from inmates, their families, advocates, elected officials and other state and local agencies are received by the Commission on a daily basis. When complaints are received, they are classified and electronically logged. Many county complaints can be addressed through the facility grievance process. Such complaints are typically returned to the sender for filing with the facility grievance program. Those retained are referred to a Correctional Facility Specialist for response. Health complaints are referred directly to the Commission’s Forensic Medical Unit for review and follow-up when appropriate. An electronic query of the complaints received from a particular facility is generated by field staff as part of their preparation for future site visits.

Beginning in 1984, the Commission developed a procedure for the centralized compilation of inmate complaints from state and local facilities. This procedure breaks down complaints into discrete categories, and allows Correctional Facility Specialists to address specific problems and trends in a systematic fashion. Inmates often report multiple issues within a single piece of correspondence. In 2014 the Commission received 3616 categories of complaints from state and local inmates or their families. The numbers below reflect the breakdown of those complaint categories.

Below is a summary of state and local facility complaint categories received in **2014**.

<b>Table IV</b>	<b>State Complaints</b>		<b>Local Complaints</b>
<b>CATEGORY</b>	<b>Total</b>	<b>CATEGORY</b>	<b>Total</b>
Non Discriminatory Treatment	19	Non Discriminatory Treatment	3
Visitation	19	Visitation	23
Facility Transfer / Classifications	102	Substitute Jail Order	10
Security and Supervision	22	Security and Supervision	29
Sanitation	11	Sanitation	37
Religion	15	Religion	24

Prisoner Personal Hygiene	19	Prisoner Personal Hygiene	61
Printed Materials and Publications	13	Printed Materials and Publications	1
Personnel Standards(Officer Conduct)	753	Personnel Standards	279
Personal Safety	523	Personal Safety	135
Packages	3	Packages	9
Double Bunking/Double Ceiling	21	Maximum Facility Capacity	2
Legal Services/Law Library	21	Legal Services	77
Inmate Workers	5	Inmate Workers	9
Health Services /Medical Treatment	289	Health Services /Medical Treatment	187
Inmate Grievance Program	91	Inmate Grievance Program	138
Good Behavior Calculation	4	Good Behavior	4
Food Services	6	Food Services	70
Exercise	22	Exercise	23
Educational Services	0	Discipline Program	83
Funeral Deathbed Visit	0	Construction and Renovation	21
Discipline Program	129	Correspondence	28
Correspondence	60	Commissary	28
Commissary	7	Classification	8
Admissions/Reception	2	Admissions/Reception	13
Access To Media	2	Access To Media	1
		Funeral Deathbed Visit	4
		Educational Services for Youth	1
Miscellaneous	129	Miscellaneous	26
<b>TOTAL</b>	<b>2287</b>	<b>TOTAL</b>	<b>1329</b>

## Grievances

According to the Commission's Minimum Standards, 9 NYCRR Part 7032, each county correctional facility is required to establish, implement and maintain a formal inmate grievance program. This program allows inmates a process at the facility level to address their complaints regarding written or unwritten facility policies, procedures, rules, practices, programs, or the action or inaction of any person within the facility. If a grievance is denied at the facility level, inmates have the option to appeal that disposition to the Commission within a specified time frame. Appeals are forwarded to the Commission for review and disposition by the Citizen's Policy and Complaint Review Council (CPCRC). **During 2014, the Commission received 1766 categories of grievances from local inmates (See Table V).** When grievances are appealed to the Commission, they are reviewed, categorized, electronically filed. Grievances are forwarded to the Correctional Facility Specialist assigned to the sending facility. Grievances that pertain to health care matters are forwarded directly to the Forensic Medical Unit for processing. Grievances are thoroughly reviewed and researched by the Correctional Facility Specialist, the Deputy Director of Operations, and the Commission's Office of Counsel. Grievances are then put before the CPCRC for final disposition. Both the inmate/grievant and facility officials receive a written decision from the CPCRC for each grievance received. As with

complaints, field staff produces a report from the grievance data base that provides them with the total number of grievances by category prior to a scheduled county jail site visit.

**Table V Grievance categories for 2014**

<b>Table V: County Facility Grievances Categories 2014</b>	
<b>CATEGORY</b>	<b># GRIEVANCES</b>
Visitation	47
Security and Supervision	41
Sanitation	30
Religion	43
Prisoner Personal Hygiene	71
Printed Materials and Publications	14
Personnel Standards	235
Personal Safety	12
Packages	8
Maximum Facility Capacity	5
Legal Services	144
Inmate Workers	3
Health Services /Medical Treatment	388
Inmate Grievance Program	67
Good Behavior Allowance	4
Food Services	189
Exercise	56
Educational Services for Youth	3
Discipline Program	85
Correspondence	75
Commissary	30
Classification	34
Admissions/Reception	25
Access to Media	0
Staffing	0
Funeral and Deathbed Visits	4
Nondiscriminatory Treatment	25
Miscellaneous	128
<b>TOTAL</b>	<b>1766</b>

**Compliance Monitoring for New York State Minimum Standards for City Jails, Town and Village Lockups, Parts 7500-7512 and the Juvenile Justice and Delinquency Prevention Act (JJDP A).**

The Commission's Field Operations Bureau continued its duty of monitoring the state's compliance with the Juvenile Justice and Delinquency Prevention Act (JJDP). This work was paired with the Commission's determination of compliance by local lockups with New York State Minimum Standards for City Jails, Town and Village Lockups, Parts 7500-7512 . In 2014 compliance of the JJDP and Parts 7500-7512 were conducted at the following facilities:

Alexandria Bay PD	Johnson City PD
Amityville Village PD	Johnstown PD
Amsterdam PD	Kenmore PD
Ardsley PD	Lake Success PD
Beacon PD	Lakewood-Busti PD
Binghamton PD	Liberty PD
Brighton PD	Lloyd Harbor PD
Brockport PD	Long Beach PD
Canandaigua PD	Lynbrook Village PD
Carmel PD	Monroe PD
Carthage PD	Mount Pleasant PD
Cheektowaga PD	Mount Vernon PD
Chenango PD	New Castle Town PD
Chenango SD	North Castle PD
Chester Village PD	Northport PD
Cortland City PD	NYPD 121st PCT
Crawford PD	NYPD 19th PCT
Depew PD	NYPD 24th PCT
Dobbs Ferry PD	NYPD Bronx Intake
Dutchess SD	NYPD PSA 1
East Fishkill PD	NYPD TD 11
Eastchester PD	NYPD TD 12
Elmsford PD	NYPD TD 19
Endicott PD	NYPD TD 2
Fallsburg PD	NYPD TD 3
Floral Park PD	NYPD TD 30
Fulton PD	NYPD TD 32
Garden City Village PD	NYPD TD 33
Geneva PD	NYPD TD 34
Glen Cove PD	Ocean Beach PD
Gowanda PD	Old Brookville PD
Greenburgh PD	Old Westbury PD
Haverstraw Town PD	Oneida PD
Hempstead PD	Orange CSD
Homer PD	Orangetown PD
Hyde Park PD	Oswego PD
Irvington PD	Owego PD
Ithaca PD	PAPD- Bus Terminal

PAPD- Kennedy Airport  
PAPD- LaGuardia Airport  
Plattsburgh PD  
Port Washington PD  
Quogue PD  
Ramapo PD  
Riverhead PD  
Rockville Centre PD  
Rye City PD  
Saranac Lake PD  
Saratoga Springs PD  
Scarsdale PD

Sleepy Hollow PD  
Southampton Village PD  
Spring Valley PD  
Stoney Point PD  
Suffern PD  
SUNY Purchase PD  
Tupper Lake PD  
Ulster PD  
Vestal PD  
Walden PD  
Yonkers PD

Compliance with the JJDPa was assessed at the following Family Court facilities in 2014.

Wayne County Family Court  
Cayuga County Family Court  
Monroe County Family Court  
Ontario County Family Court  
Seneca County Family Court  
Steuben County Family Court  
Yates County Family Court  
Herkimer County Family Court  
Oneida County Family Court  
Putnam County Family Court  
Oneida County-Rome Family Court  
Utica County Family Court  
Oswego County Family Court  
Jefferson County Family Court  
Lewis County Family Court

Otsego County Family Court  
Chenango County Family Court  
Broome County Family Court  
Tioga County Family Court  
Schenectady County Family Court  
Tompkins County Family Court  
Chemung County Family Court  
Madison County Family Court  
Cortland County Family Court  
Rockland County Family Court  
Orange County Family Court  
Westchester County Family Court  
Putnam County Family Court  
Nassau County Family Court  
Suffolk County-Central Islip Family Court

### **Capacity Management**

At the end of 2014, there were approximately 84,630 persons incarcerated within New York State's prisons, local county jails and the New York City Department of Correction (NYCDOC). As a result, inmate capacity management within various facilities can be problematic due to overcrowding. The Commission continually monitors the capacities of these facilities, via a daily electronic population reporting system for county facilities, and via facsimile for New York City and state prisons. The latter is also utilized to monitor the census of all county-sentenced inmates scheduled for transfer to a state facility.

In 2014 SCOC revised the Maximum Facility Capacity at the following facilities:

#### **County and NYC Facilities**

Chenango County Jail

Cortland County Jail  
Greene County Jail  
Jefferson County Jail (3)  
Madison County Jail  
Montgomery County Jail (2)  
Nassau County Correctional Center  
Niagara County Jail  
Robert N. Davoren Center (NYC DOC)  
Suffolk County (Yaphank)

When capacity issues arise, the Commission employs its statutory authority under Correction Law section 504 to move local prisoners from overpopulated facilities to those with available housing. The Commission's authority to set facility prisoner capacities allows it to evaluate and approve temporary or interim space in crowded local facilities. This authority also allows the Commission to authorize double-bunking and double-celling programs in the DOCCS.

**Double-Bunking, Double-Celling, & Special Housing**  
**New York State Department of Corrections and Community Supervision (DOCCS)**

By the end of 2014, double-bunked cells within the NYS DOCCS totaled 99 beds and were located at both Walkill (72) and Clinton (27) Correctional Facilities. This arrangement is the result of a variance approved at the Commission's January 2014 meeting. In addition, the Commission has sanctioned the use of an additional 1,400 cells, located in seven 200-bed housing areas, designated as S-Blocks, or Special Housing Units, in which each cell is permanently approved to house two individuals. During the 2014 reporting period, Commission staff conducted a site visit to each of the two facilities managing double-bunk variances for the purpose of inspecting each double-bunked housing area. This inspection includes the assessment of the general physical condition of the space and notes the condition and operation of any equipment in the area. SCOC personnel further determine the degree to which normal inmate services are impacted by double-bunking, such as medical, dental, food services, visitation, laundry services and the availability of academic and vocational programs. During the 2014 site visit, all of these areas were deemed to be operating at an acceptable level.

**Facility Planning and Improvement**

The basic function relegated to Facility Planning and Improvement is set forth in two areas of New York State Law. According to NYS Correction Law, section 45(10), the State Commission of Correction shall "approve or reject plans and specifications for the construction or improvement of correctional facilities." The second area is found in County Law, section 216. This statute states, "No site or location for any county jail shall be selected or acquired by such board of supervisors which shall not have been approved by the state commission of correction." The Commission provides technical planning, capital project development and construction plan review services for development of new correctional facilities. The Commission has viewed the modernization of an aging and overcrowded local correctional infrastructure as a major priority. The agency reviews and approves site selection and construction plans, monitors progress, and assists in problem identification and solution. In carrying out these responsibilities, staff responsibility goes far beyond the simple review of blueprints and plans. Particular attention is directed to building code requirements, operational rules and regulations, and case law affecting conditions of confinement. Additionally, Commission staff provides local decision makers with technical assistance to help assure the

jurisdiction of satisfactory, safe and secure methods of incarcerating offenders in a cost effective manner.

During 2014, findings and determination reports relative to construction plans and specification were prepared by Commission staff and forwarded with recommended action on forty (40) projects variously submitted by the New York State Department of Correction and Community Supervision (NYS DOCCS), county correctional facilities, police/court detention units, or the New York City Department of Correction (NYCDOC). The calendar below lists those projects submitted to the Commission in 2014.

	<b>January</b>
<b>LOCAL PD</b>	East Rochester Police Department – New Lockup
	<b>February</b>
<b>NYS DOCCS</b>	Cape Vincent Correctional Facility – Repair/Replace Doors, Frames and Hardware Buildings 8, 10, 12, 13, 14, 15, 16, 17, 18, 19, 22 and 25
<b>NYS DOCCS</b>	Elmira Correctional Facility – Replace Plumbing C Block Building 20
<b>NYS DOCCS</b>	Great Meadow Correctional Facility – Repair Cell Block Utilities Building 2
<b>LOCAL PD</b>	Saratoga Springs Police Department – Modification to Holding Cell Enclosure
<b>NYS DOCCS</b>	Southport Correctional Facility – Reconfigure A Yard Gates
<b>NYS DOCCS</b>	Sullivan Correctional Facility – Rehabilitate Showers on Cell Blocks D and E
<b>COUNTY Jail</b>	Tompkins County Jail – Addition and Renovation Project
<b>NYS DOCCS</b>	Wende Correctional Facility – Replace Security Doors in Buildings 1A, 9, 10 and 12-16
<b>COUNTY Jail</b>	Nassau County Correctional Center – Installation of Minor Female Program Housing Breaker Gates
	<b>March</b>
<b>NYS DOCCS</b>	Attica Correctional Facility – Replace Windows Buildings, Numbers 7 and 15
<b>COUNTY Court</b>	Chenango County Courthouse – Renovation of Holding Cells
<b>COUNTY Jail</b>	Ontario County Jail – Pod Segregation and Constant Watch Dorm Modification
	<b>April</b>
<b>NYS DOCCS</b>	Sullivan Correctional Facility – Replace Access Doors
<b>NYS DOCCS</b>	Wende Correctional Facility – Upgrade Observation Cells, First Floor
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	<b>May</b>

<b>COUNTY Jail</b>	Dutchess County Jail – Conversion of Program Room Into Medical Examination Room
<b>COUNTY Jail</b>	Jefferson County Jail – Conversion of Indoor Exercise Area Into Dormitory
<b>NYS DOCCS</b>	Wende Correctional Facility – Replace Heating System and Security Windows Buildings 7 and 8
<b>June</b>	
<b>NYS DOCCS</b>	Gouverneur Correctional Facility – Replace Interior and Exterior Doors
<b>NYS DOCCS</b>	Green Haven Correctional Facility – Rehabilitate Showers, A-H
<b>COUNTY Jail</b>	Monroe County Jail – Renovation to Central Booking Search/Scan Room
<b>NYC DOC</b>	Manhattan Criminal Court Separation Pens
<b>NYC DOC</b>	Brooklyn Supreme Court Separation Pens
<b>NYC DOC</b>	Anna K. Kross Center – Miscellaneous Renovations
<b>LOCAL PD</b>	Town of Amherst Police Department, New Construction of Police and Court Holding Area
<b>July</b>	
<b>LOCAL PD</b>	Buffalo Police Department – New Female Lockup Construction
<b>COUNTY Jail</b>	Temporary Inmate Housing Facilities
<b>CITY Court</b>	NYC – Brownsville Community Justice Center – New Court Holding Area
<b>LOCAL Police</b>	NYPD Special Victims Unit – New Lockup
<b>NYS DOCCS</b>	Ulster Correctional Facility – Repair/Replace Doors
<b>August</b>	
<b>COUNTY Jail</b>	Chautauqua County Jail – Upgrading Heating and Cooling Renovation
<b>September</b>	
<b>COUNTY Jail</b>	Erie County Correctional Center – Twin Chiller Replacement Project
<b>NYC DOC</b>	George R. Vierno Center – New Mental Health Observation Rooms and Holding Areas
<b>NYC DOC</b>	Otis Bantum Correctional Center Annex – New Entrance to Enhanced Supervision Area
<b>NYS DOCCS</b>	Auburn Correctional Facility – Replace Windows Buildings 7-9
<b>NYS</b>	Coxsackie Correctional Facility – Replace Cell Locking Device and Install Enhanced



<b>DOCCS</b>	Vision Panel Doors Building #1
<b>NYS DOCCS</b>	Sing-Sing Correctional Facility – Replace Windows A Block, Building #32
<b>October</b>	
<b>COUNTY Jail</b>	Broome County Jail – Public Safety Facility Addition and Modification
<b>COUNTY Jail</b>	Erie County Holding Center – Construction of Outdoor Recreation Pens at Gulf Level
<b>COUNTY Jail</b>	Montgomery County Jail – Update Current Security Camera System
<b>NYS DOCCS</b>	Woodbourne Correctional Facility – Rehabilitate Existing Locking System and Cells Doors, 4 <sup>th</sup> Floor, Building 32
<b>COUNTY Jail</b>	Washington County Jail – Rear Gate Security Enhancements
<b>November</b>	
<b>COUNTY Jail</b>	Jefferson County Jail – Removal of Dividing Wall and the Combination of Housing Areas 3A and 3B
<b>NYC DOC</b>	George Motchan Detention Center – Modifications to Classrooms
<b>NYS DOCCS</b>	Attica Correctional Facility – Upgrade Heating Systems and Windows Building #3, B Block
<b>December</b>	
<b>County Jail</b>	Franklin County Jail – Security Control Room Modifications

### FACILITY PLANNING AND DEVELOPMENT PROJECTS

Brookwood SC- Rehabilitate Wings 1-4, Asbestos Abatement Tile Floors  
 Broome CJ- Medical Unit/Gym Dorm Conversion Project  
 Buffalo PD – New Female Lock Up Area  
 Cattaraugus CJ – Pass-through Feeding Door Hatch Project  
 Chautauqua County Jail - Asbestos Abatement I- J Cell Blocks  
 Cortland CJ – Gym Dorm Renovation  
 Dutchess CJ – Temporary Housing Units  
 Erie County Holding Center – Outdoor Exercise Pens Project  
 Erie County Holding Center- Building Sealant Project  
 Franklin CJ- Security Control Gate Modification Project  
 Franklin County Jail - Sallyport Modification  
 Greene CJ- New Jail Committee Meeting (2) (site visit)  
 Herkimer CJ – Oil Tank Removal Project  
 Jefferson CJ – Conversion of indoor Recreation area into a Dorm  
 Jefferson CJ – Housing Units 5A and 5B Combining Modification Project

Mohawk CF – Replace Bar Screen Project  
 Montgomery CJ- Security Camera Upgrade Project  
 MTA - Grand Central Terminal New Police Lock up  
 Nassau CJ – New Laundry Area Renovation Project  
 Nassau PD – New First Precinct  
 Niagara CJ - Elevator Removal and replacement and fire alarm Project  
 NYC DOC - Brownsville Court Holding Area  
 NYC DOC - AMKC Renovation Projects  
 NYC DOC – BKDC Court Separation Pens  
 NYC DOC – MCC Court Separation Pens  
 NYC DOC- GMDC - New Classrooms Mod -6 Project  
 NYC DOC- West Facility – Vehicle Sally Port and New Control Rooms Change Orders  
 NYPD – SVU Holding Area  
 NYS DOCCS – Gouverneur CF – Replace Interior and Exterior Doors Facility Wide  
 NYS DOCCS – Green Haven CF- Rehabilitate Showers Blocks A-H  
 NYS DOCCS Attica Correctional Facility - Replace Windows “B” Block Project  
 NYS DOCCS Sullivan CF- Replace Access Doors Throughout Facility  
 NYS DOCCS Ulster CF- Replace Access Doors Throughout Facility  
 NYS DOCCS- Wende CF – Replace heating system and security windows building 7- 8  
 NYS DOCCS Wende CF – Upgrade Observation Cells 1<sup>st</sup> Floor Building No. 35  
 NYS DOCCS Woodbourne Correctional Facility- Replace Cell Locking Devices and Doors  
 Building 32  
 Orleans CJ- Video Camera System Upgrade  
 Pelham Manor PD - Police Lockup Renovation  
 Putnam CJ – Subdivision of Housing units  
 Rockland CJ – Elevator Removal and replacement Project  
 Sullivan CJ – Site visit of Sullivan Annex for possible new jail site.  
 Town of Amherst – Police and Court Holding Area  
 Wappingers Fall PD - Police Lockup Renovation  
 Washington CJ - Rear Entrance Gate Security Enhancements Project  
 Westchester CCF – New Roof HVAC System

### **New Institutions Transition Assistance Program (NITAP)**

Through its New Institutions Transition Assistance Program (NITAP), Commission technical assistance teams work closely with localities constructing new correctional facilities or additions to existing facilities. Such assistance includes policy and procedure reviews, staff training, reviews of moving logistics plans, on-site monitoring of inmate transfers and post-transition jail operations. During the new facility transition process, the Commission establishes formal liaisons with county executives and managers, legislative public safety committees, and county sheriffs. The Commission and its staff meet regularly with all involved officials, correctional facility executive teams and facility transition staff to assess transition-related progress to ensure that new institutions are opened and operated in a safe, efficient, and cost-effective manner.

### **2014 NITAP PROJECTS**

#### **Dutchess County**

- Continued to provide technical assistance to the county as they completed plans to construct modular housing units to ease overcrowding. This included site visits and meetings with county officials.
- Continued to provide technical assistance to the county as they moved forward in their efforts to construct an addition to the existing county jail. This included multiple site visits and meetings with county officials both in Poughkeepsie and Albany. This resulted in a Memorandum of Understanding concerning progress and milestones.

#### Greene County

- Assisted the county in their efforts to build a new county jail. Such assistance included:
  - Participation in monthly jail task force meetings;
  - Presentation on the jail construction and transition process;
  - Discussions on viable jail designs; and
  - Discussions on likely staffing needs;

#### Herkimer County

- Provided extensive technical assistance to the county as they continue plans for a new county jail. Such assistance included:
  - On-site meetings with county officials;
  - Technical assistance reviews of schematic designs and discussions of such reviews with county officials.

#### Jefferson County

- Provided technical assistance to the county as they moved forward in designing a new 36-bed dormitory to be converted from an existing indoor exercise area. Such assistance included:
  - Technical assistance reviews of construction documents
  - Need for furniture and equipment (i.e., bunks, desks, chairs, etc.);
  - Reviewing operational documents (i.e., policies, post orders); and
  - Impact on overall operations

#### Montgomery County

- Assisted the county in identifying space for additional beds within current housing units. This resulted in the conversion of unused program space in dormitories.

#### Ontario County

- Continued to assist the county on their plans to convert a supervisors' office into a small dormitory for inmates placed on Constant Watch (suicide watch). This project was approved by the Commission.

#### Saratoga County

- Initiated discussions with the county in converting a portion of the facility's chapel into a small dormitory.

#### Schoharie County

- Continued to work with county officials in their efforts to either renovate or replace the current jail, which was closed in August 2011 as a result of devastating floods caused by Hurricane Irene. This included on-site meetings with county officials.

#### Sullivan County

- Continued to work with the county as they plan for the construction of a new county jail.

#### Tioga County

- Completed a technical assistance review of existing jail space for potential conversion to housing.

#### Buffalo Police Department

- Assisted the department in the design of a new female detention area within the Buffalo City Court Building. The Commission approved the design and SCOC staff continue to work with the department as the project progresses.

#### East Rochester Police Department

- Provided extensive technical assistance in the opening of the police department lockup. Such assistance focused on construction, policy development, equipment purchase, installation and testing, staff training, etc. Multiple on-site inspections were completed in anticipation of a 2015 opening.

#### Lancaster Police Department

- Continued to provide technical assistance in preparation of the 2014 opening of a new police department lockup. Such assistance included:
  - Identification of equipment and training needs;
  - Development of draft policies and other operational documents; and
  - Multiple on-site assessments of department's preparation.

### **Staffing Services**

Commission Minimum Standards, 9 NYCRR Part 7041, Staffing Requirements, provide that the Commission shall determine the minimum number of staff necessary to operate each correctional facility in New York. Upstate county jails and New York City correctional facilities are unique institutions with wide variation in size, age, and configuration. Each must be staffed at a cost-effective level that provides for adequate levels of inmate supervision and for staff and public safety. The Commission provides the correctional staffing services for all county correctional facilities and more recently, for the City of New York. Commission staffing specialists travel to facilities upon local government request, and to all newly constructed facilities. The staffing assessment is based on specific correctional functions, physical plant configuration, inmate population trends, and other factors unique to a particular correctional facility. Detailed guidelines direct staff in developing a facility staffing plan. A proposed minimum institutional position and staffing analysis is prepared, and then negotiated to consensus with county legislative officials, the county executive, sheriff or commissioner. The Commission affords timely security staffing expertise not available in localities, eases the local criminal justice budget process and promotes local government confidence in the safety of widely disparate facilities.

During the 2014 reporting period the revision of six staffing analyses was finalized by Field

Operations staff:

- Cattaraugus Staffing Revised Position and Staffing Analysis
- Cortland Staffing Addendum to 2005 Analysis Units D and E
- Franklin Staffing Revised Position and Staffing Analysis
- Livingston Staffing Revised Position and Staffing Analysis
- Madison Staffing Revised Position and Staffing Analysis
- Onondaga Pen Staffing Revised Position and Staffing Analysis

### **Technical Assistance**

During the course of field evaluations, Commission staff frequently renders technical assistance to facility administrators in order to resolve any number of problems. Generally, the assistance involves policy and procedures review, standards interpretation, referrals to other agencies, training issues, development of operational procedures, modifications or improvements to physical plant and implementation strategies. Staff record significant technical assistance contacts so that the data can be used to identify future projects.

While Commission staff provided facilities with hundreds of hours of technical assistance in 2014, the following is a list of some of the primary operational issues for which Commission staff provided county and state officials with technical assistance.

### **County Correctional Facilities**

Chenango CJ

- Inmate Classification

Clinton CJ

- Facility staffing needs

Dutchess CJ

- Facility staffing needs
- Modular Housing Operations

Erie Count Holding Center

- Facility staffing needs

Genesee CJ

- Facility staffing needs

Montgomery CJ

- Sub dayroom for Housing Pod

Madison CJ

- Inmate Supervision

Washington CJ

- Housing Renovation

Rockland CJ

- Elevator Project

Jefferson CJ

- Gym Dorm Conversion

Lewis CJ

- Control room and 911 Center Construction

Broome CJ

- Gym dorm conversion and New Medical unit Construction

Monroe CJ

- Tour Annunciations System

Herkimer CJ

- Jail design development

Sullivan CJ

- Jail design development

Tompkins County

- Court House holding Pens

**New York State Agencies**

**Office of Children and Family Services**

Throughout 2014, SCOC staff conducted regular site visits to OCFS secure centers to assess operations and overall conditions. SCOC staff continues to submit their findings to OCFS officials as it pertains to operational recommendations for improving the safety and security of the secure centers.

The OCFS facilities receiving multiple assessment visits are as follows:

Ella McQueen Reception Center  
Brookwood Secure Center  
McCormick Secure Center  
Columbia Girls Secure Center  
Goshen Secure Center

**Information Management**

The Commission is the only central repository for local correctional information in New York State. The Commission provides electronic and retrospective management information to assist local correctional administrators. The Jails Daily Population Reporting System reports inmate population counts in all local facilities each day through the eJustice Portal. The Commission

also tracks New York City population levels, parole violators and "state-ready" inmates in jails. The Sheriffs' Annual Report provides comprehensive data from sheriffs on admissions, discharges and inmate demographics. SCOC cooperates with the Rockefeller Institute of Government in that organization's preparation of its annual publication of public safety and criminal justice statistics.

### **Chairman's Memoranda**

The Commission is a key player in the delivery of salient correctional management information and education to county correctional facilities. The Chairman's Memorandum, a bulletin that is sent to all county sheriffs and administrators of correctional facilities and police departments, is an efficient vehicle for the delivery of such information. In 2014 the following Memoranda were issued:

- Chairman's Memorandum # 1 Release of Revised Reportable Incident Manual
- Chairman's Memorandum # 2 Daily Reporting of Unarraigned Persons in County Jails
- Chairman's Memorandum # 3 NYCRR Parts 7001 and 7511 Construction and Renovation
- Chairman's Memorandum # 4 Part 7070 Educational Services for Youth
- Chairman's Memorandum # 5 Use of Full Body Scanners in Local Correctional Facilities
- Chairman's Memorandum # 6 Amendment of Correction Law 500-b

### **Special Investigations**

At times, it becomes necessary for field staff to conduct investigations of incidents, complaints and other matters requiring intensive analysis and review. The following is a summary of noteworthy special investigations conducted by Commission staff during the year 2014:

Chemung County Jail	Inmate Complaint Allegations
Chenango County Jail	Inmate Complaint Allegations
Franklin County Jail	Inmate Complaint Allegations
George Motchan Detention Center	Alleged Inmate Escape
George Motchan Detention Center	Inmate Cell Destruction
Manhattan Detention Center	Inmate Group Action
Suffolk County Riverhead	Attempted Inmate Escape
Washington County Jail	Inmate Escape

### **FORENSIC MEDICAL UNIT**

The Forensic Medical Unit is a Field Operations unit within the State Commission of Correction. This unit is intended to fulfill the health care oversight mandate of Correction Law, Article 3 by providing the SCOC and its Medical Review Board (MRB) with expertise in the investigation of inmate mortality, the evaluation of State and local correctional facility health care delivery. The unit also provides technical assistance to state and local correctional facilities for the improvement of health care delivery. Technical assistance is regularly provided in the development of health care delivery procedures including tuberculosis control, occupational health and safety, health care staffing, health facility designs and handling of pharmaceuticals among others. Each year a number of New York's jails are visited by trained health care system evaluators. Health care delivery systems are evaluated in each facility, recommendations are made and technical assistance is rendered. The Forensic Medical Unit also presents its cases to the MRB, investigates medical grievances and complaints, and conducts various special investigations as directed by the Commission. This includes the following activities:

- Field mortality investigation;
- Health services evaluation;
- Standards development and compliance;
- Resolution of complaints and grievances;
- Collection and interpretation of data and statistics;
- Development and administration of technical assistance programs; and
- Policy formulation and advice.

### **Mortality Investigations**

The State Commission of Correction's Forensic Unit conducted twenty-six (26) mortality and eleven (11) abridged mortality investigations. Those cases and their corresponding facilities are as follows:

#### **Mortality Investigations (Full Board Cases):**

John Wright/Onondaga County Justice Center #2013-M-0071  
Ismael Alicea/NYPD Bronx Court Section #2013-M-0020  
Charles Kohm/NYPD 120<sup>th</sup> Pct. #2013-M-0062  
Donovan Kellom/Monroe CJ #2013-M-0114  
Luis Santiago/Elmira CF #2012-M-0138  
Deamearle Gullede/Albany CJ #2013-M-0072  
Daniel Pantera/Niagara CJ #2012-M-157  
Tommy Lee Jones/Niagara CJ #2012-M-0160  
Paul Pestka/Marcy CF 2013-M-0064  
Gilbert Pagan/NYC DOC GMDC #2013-M-0156  
Vernon Isaac/Cattaraugus CJ #2012-M-0158  
Rhojel Golding/Clinton CF #2013-M-0073  
Craig Ingersoll/Attica CF #2013-M-0040  
Bradley Ballard/NYC DOC AMKC #2013-M-142  
Horsone Moore/NYC DOC AMKC #2013-M-0164  
Freddie Santiago/Upstate CF #2012-M-0148  
Carlos Mercado/NYC DOC AMKC #2013-M-0125  
Gary Russell/Cattaraugus CJ #2014-M-0007  
Robert Barksdale/Monroe CJ #2013-M-0119  
Robert Anderson/NYC DOC AMKC-Bellevue Hospital PW #2012-M-0030  
Vasilis Moulavassilis/Auburn CF #2014-M-0036



Quanell Offley/NYC DOC OBCC #2013-M-0181  
Igor Reznik/NYPD 61<sup>st</sup> Pct #2013-M-0135  
Ronald Harris/NYPD Brooklyn Court Section #2013-M-0166  
Dylan Burke/Oneida CJ #2013-M-0094  
Alan Williams/NYC DOC AMKC #2013-0074

### **Abridged MRB Mortality Cases**

Jermele Kelly/NYC DOC AMKC-Bellevue Hosp PW #2007-M-0085  
Dennis Bridges/Greenhaven CF #2012-M-0121  
Jerome Tavalino/Monroe CJ #2012-M-146  
Charles Baker/Jamestown Police Dept. #2013-M-0027  
Richard Albert/Downstate CF #2013-M-0041  
Moo Shin/Attica CF #2013-M-0092  
Todd Brant/Nassau CJ #2013-M-0007  
William Richlin/Sullivan CF #2013-M-0087  
Christopher Raffe/Otisville CF #2013-M-0195  
Charles Smith/Sing-Sing CF #2013-M-0149  
Jeffery Hayes/Sing Sing CF #2013-M0153

### **Technical Assistance**

#### **Health Care Evaluations**

Technical assistance in the form of health services evaluations is typically provided in response to a formal request from a county correctional facility. It may also be provided as a result of findings of chronically inadequate healthcare delivery. In 2014 the following facilities received such technical assistance.

Broome CJ  
Madison CJ  
Sullivan CJ  
Wyoming CJ

#### **Construction or Expansion of Health Care Facilities**

Broome County Jail - Infirmary

#### **Monitoring**

DOCCS Self Inflict Injuries/Suicide Attempts  
Medical/Mental Health Local Facilities Reportable Incidents  
Medical/Mental Health PD Reportable Incidents  
Nassau County Jail's Weekly BHU Medical  
Nassau County Jail's Weekly D2D (medical tier)  
Suffolk County Jail's Weekly BHU Medical

#### **Training Attended**

2014 Correctional Healthcare Conference  
Omnisure Webinars  
AMC HIV Teleconferences

#### **Interagency**

New York State Department of Corrections and Community Supervision Health Services  
 New York State Office of Mental Health  
 New York State Department of Health - Office of Professional Medical Conduct  
 New York State Education - Office of the Professions  
 New York State Department of Corrections and Community Supervision  
 Office of Health Services and Inspector General, Review of Mortality Cases  
 New York State AIDS Institute  
 New York State Sheriffs' Association  
 Mental Health Criminal Justice Planning Committee

**Mental Health and Suicide Prevention**

During the 1970's and early 1980's, suicide was the leading cause of death in county jails and preventable suicide emerged as a major source of successful litigation against local government. The Commission, in conjunction with the NYS Office of Mental Health (OMH), researched, developed and obtained federal financing for a state-of-the-art suicide prevention program that has yielded a sustained annual reduction in suicide of more than 70%, despite extraordinary increases in local inmate populations. The Commission and OMH deliver suicide prevention training to all incoming correction officers, either directly, or by offering training to established correctional staff who, in turn, trains their own incoming correction officers. The training conducted for incoming correction officers is an intensive eight hour module.

Beginning in 2010, the Commission and OMH completed a comprehensive training curriculum revision, the first revision since 2000. New training manuals, screening guidelines, and videos were produced. An initial pilot training was conducted with county corrections and mental health instructions in June 2011. The new curriculum was revised and screening guidelines were officially released in November 2011. The first full instructor course was conducted in December 2011 for the New York State Department of Corrections and Community Supervision.

**Inmate Mortality During 2014 As Reported**

	SUICIDE	AIDS	NATURAL	HOMICIDE	UNKNOWN	OTHER	TOTAL
<b>NYS DOCCS</b>	<b>12</b>	<b>2</b>	<b>104</b>	<b>0</b>	<b>0</b>	<b>7</b>	<b>125</b>
<b>COUNTY JAILS</b>	<b>16</b>	<b>0</b>	<b>13</b>	<b>0</b>	<b>5</b>	<b>1</b>	<b>35</b>
<b>NYCDOC</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>1</b>	<b>5</b>	<b>0</b>	<b>10</b>
<b>POLICE LOCKUPS</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>NYCPD PRECINCTS</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>2</b>
<b>TOTAL</b>	<b>29</b>	<b>2</b>	<b>121</b>	<b>1</b>	<b>11</b>	<b>8</b>	<b>172</b>

The Office of Counsel is responsible for the interpretation and analysis of all legal issues related to the work of the Commission. Part of this function includes the coordination of the Commission's legislative program, including the submission and review of legislation affecting the correctional and criminal justice system in New York State. The Commission has worked with administrators on a number of issues. Such collaborative work has included legislation aimed at reducing the number of mandated classification categories in local correctional facilities, assisting in the treatment of mentally ill inmates, facilitating the rapid and accurate transfer of vital medical information between facilities and the addition of a former correction officer to the Citizen's Policy and Complaint Review Council. In addition, agency attorneys represent the Commission in litigation related to conditions of confinement such as overcrowding, civil rights, and medical care. Counsel also acts in an enforcement capacity in regard to facility compliance with minimum standards.

In addition to all the above listed functions, Counsel's Office has developed a technical assistance approach to correctional jurisdictions by providing legal opinions to sheriffs and jail administrators.

### **Regulatory Reform**

In 2014, the Commission amended sections 7013.4(b), 7013.4(d), and 7013.6 of Title 9 NYCRR to conform the Commission's inmate classification regulations to the amended Correction Law §500-b.

Pursuant to State Administrative Procedure Act §207, the Commission carried out the required regulatory review of all rules adopted in calendar years 1999, 2004 and 2009. After publishing notice and an invitation for public comment, said rules were continued without amendment.

### **Legislation Summary**

The following legislative proposals were made to the Governor's Counsel's Office for the 2014-2015 session:

- 1.) Amending Correction Law §42 to provide for improvement of the functioning of the Citizen's Police and Complaint Review Council by updating the diversity of its membership.
- 2.) Amending Correction Law §500-b(4) to change the minimum age classification of an adult inmate from nineteen (19) to eighteen (18) in order to permit county jails to comply with the Prison Rape Elimination Act (PREA).
- 3.) Amending Correction Law §43 to exclude records of the proceedings and the deliberations of the Correction Medical Review Board from disclosure under Article 31 of the Civil Practice Law and Rules (CPLR).

### **Litigation**

In the course of the year, one (1) new case was opened, two (2) were closed and one (1) was pending as of the end of 2014.

### **Legal Opinions**

In 2014, Counsel's Office rendered 100 legal opinions by telephone and 12 legal opinions in writing.

### **Freedom of Information Law (FOIL) Requests**

For the year 2014, the Office of Counsel received and responded to 149 Freedom of Information Law (FOIL) requests for records of the Commission of Correction.

### **Juvenile Justice**

In 2014, Counsel's Office continued to provide guidance and assistance to the Field Operations Bureau with the Commission's duty of monitoring the state's compliance with the Juvenile Justice and Delinquency Prevention Act (JJDP Act).