NEW YORK STATE | Commission of Correction

THOMAS A. BEILEIN
Chairman

PHYLLIS HARRISON-ROSS, M.D.
Commissioner

THOMAS J. LOUGHREN
Commissioner

July 18, 2016

THE HONORABLE ANDREW CUOMO
Governor

THE HONORABLE JOHN FLANAGAN
Temporary Senate President, Majority Leader and Coalition Leader

THE HONORABLE JEFFREY D. KLEIN
Senate Coalition Leader and Independent Conference Leader

THE HONORABLE CARL HEASTIE
Assembly Speaker

THE HONORABLE ANDREA STEWART-COUSINS
Senate Democratic Conference Leader

THE HONORABLE BRIAN M. KOLB
Assembly Minority Leader

Governor and Members of the Legislature:

I am pleased to submit to you the New York State Commission of Correction Annual Report for the year ***2015***.

The Commission continues to stand by its mission to provide a safe, stable and humane correctional system in New York State. Governor Andrew Cuomo's commitment to the improvement of the correctional system in New York and his support of corrections professionals throughout the state gives the Commission added leverage to further its mission.

The statutory mandate of the State Commission of Correction is a broad and multi-faceted one. The Commission has continuously carried out its mandate effectively and efficiently, and stands out in the correctional arena as an innovator and provider of quality services to New York State.

The Commission's commitment to the correctional community and the people of New York State will remain strong. The agency looks forward to continuing to serve the best interests of both.

Respectfully,

Thomas A. Beilein
Chairman

cc: Rachel Small
Deputy Secretary for Public Safety

HISTORY

The State Commission of Correction is the current-day embodiment of the New York State Commission of Prisons, which was established in July of 1895. The Commission of Prisons was meant to function as an autonomous body for the sole purpose of monitoring all detention and correctional facilities within the State.

While the State Commission of Correction essentially functioned in its original capacity for almost eighty years, in the 1970's, the Commission underwent a series of administrative and legal changes that significantly affected its mission in the correctional field.

As the State Commission of Correction's autonomy and mission were expanded and made more credible, the Governor and Legislature also restructured the agency's administration. A full-time, three member Commission was established, appointed by the Governor with the advice and consent of the Senate. The Citizen's Policy and Complaint Review Council (CPCRC), consisting of nine gubernatorial appointed positions was also established, and the Medical Review Board was reorganized.

CORRECTIONAL OVERSIGHT LEGAL AUTHORITY

Correctional facilities in New York State operate pursuant to a body of carefully formulated constitutional and statutory law and regulations. The State Commission of Correction's legal authority is derived from the following:

- County Law, Article 5, Section 217: "Each county shall continue to maintain a county jail as prescribed by law."
- Correction Law, Article 6: Management of state correctional facilities.
- Correction Law, Article 20: Statutory provisions governing New York State penitentiaries and jails.
- Article XVII, Section 5 of the New York State Constitution provides that there shall be a State Commission of Correction, which shall visit and inspect all institutions used for the detention of sane adults charged with or convicted of crime.
- Correction Law, Article 3: establishes the Commission and its oversight and regulatory powers. Section 45(6) of the Correction Law provides for the State Commission of Correction to promulgate rules and regulations establishing minimum standards for the care, custody, correction, treatment, supervision, discipline, and other correctional programs for all persons confined in correctional facilities for adults and correctional facilities for juvenile offenders.
- Title 9, Subtitle AA of the Official Compilation of Codes, Rules and Regulations of the State of New York contains the minimum standards and regulations for the management of correctional facilities, as promulgated by the State Commission of Correction.

ORGANIZATIONAL AND STATUTORY AUTHORITY

The New York State Commission of Correction is an Executive Department correctional oversight and technical services agency first established in the New York State Constitution (Article XVII) in 1895. The Commission's services are delivered to all state and county correctional facilities, police department detention and facilities in New York City. Its organization, functions, powers and duties are set forth in New York State Correction Law, Article 3.

The Commission's duties include:

- advising the Governor on correctional policy;
- promulgation of rules and regulations establishing minimum standards for the care, custody, treatment, supervision and discipline for all persons confined in correctional facilities;
- ensuring compliance with minimum standards;
- monitoring, adjusting, and enhancing prison and jail population capacity;
- reviewing all inmate grievances, complaints, and reportable incidents;
- overseeing new correctional facility development;
- providing staffing services;
- assisting in the implementation of new correctional technologies;
- review of inmate mortality;
- evaluation and development of health and mental health services; and
- overseeing secure juvenile centers operated by the NYS Office of Children and Family Services.

The Commission's mission is to provide for a safe, stable, and humane correctional system and for the delivery of essential services therein. The three deliberative members of the Commission are appointed by the Governor to statutory terms with the advice and consent of the New York State Senate. One commissioner serves as chair and chief executive officer. The other two commissioners are assigned to head the Medical Review Board and Citizen's Policy and Complaint Review Council respectively, members of which are also appointed to terms by the Governor.

The Commission is primarily a field services agency with 20 Correctional Facility Specialists in its Field Operations Bureau and Forensic Medical Unit. Legal, executive and support services complete the agency's present staff complement of 30. Commission field and forensic units are on occasion subject to reorganization based upon staffing level fluctuation and the continuous effort to maximize deployment efficiency.

In summary, the Commission is a small, specialized criminal justice service agency supporting the statewide correctional community, working on behalf of the Governor to professionalize and enhance the quality of corrections in New York.

MEDICAL REVIEW BOARD

The Medical Review Board (MRB) was established by the Legislature in 1972 to investigate deaths in correctional facilities within the state and to make recommendations for improving the delivery of health care to detainees and sentenced offenders.

Section 46 of the Correction Law provides for an organized Correction Medical Review Board within the State Commission of Correction. The Board must consist of two physicians who are board certified respectively in forensic pathology and forensic psychiatry, another physician, an attorney admitted to the New York Bar, and two at-large members, all appointed by the Governor to statutory terms with advice and consent of the Senate.

Section 47 of the Correction Law empowers the Board to:

"(1.) (a) Investigate and review the cause and circumstances of the death of any inmate of a correctional facility and,

(1.) (e) Investigate and report to the Commission on the condition of systems for the delivery of medical care to inmates of correctional facilities and where appropriate, recommend such changes as it shall deem necessary and proper to improve the quality and availability of such medical care."

The Commission and the Medical Review Board pursue the following objectives:

- Conduct timely and thorough investigations of all mortality and serious incident cases in the correctional system;
- Develop and administer cost-effective plans, projects, and programs to address identified problems in the health care delivery of all correctional facilities; and
- Maintain continuous oversight of correctional health care trends by substantive response to inmate health care grievances and review of Medical Review Board recommendations.

The Medical Review Board meets on a quarterly basis. The 2015 MRB members included:

Phyllis Harrison-Ross, M.D., Chairperson
Michael Baden, M.D.
Allison Bishop, Esq
Marjorie Blieka, M.D
Scott S. Coyne, M.D
Bruce David, M.D.
Robert Kurtz, M.D. .

CITIZENS' POLICY AND COMPLAINT REVIEW COUNCIL

The Council was established by the Legislature to address the need for increased public participation in the oversight and regulation of local correctional facilities. Its mandate includes: improving conditions in local correctional facilities, overseeing the inmate complaint and grievance process, and advising the Commission.

The Council is comprised of nine members appointed by the Governor with advice and consent of the Senate. The statute requires that one member shall have been a Vietnam veteran, or a licensed mental health professional with expertise in Post-Traumatic Stress Disorder, an attorney, a former inmate of a correctional facility, a former Office of Children and Family Services (OCFS) resident, and a former OCFS employee.

The Citizen's Policy and Complaint Review Council (CPCRC) convenes monthly. The 2015 CPCRC members included:

Thomas Loughren, Chair
Charles Burke
Hernan Carvente
Thomas Cross
Nicholas LaBella, Jr
Douglas Landon, Esq.
Donald Nadler
Julie Scalione
Martin Stanton

FIELD OPERATIONS MANAGEMENT AND SUPERVISORY STAFF
2015

RICHARD KINNEY
Director of Operations

BILL BENJAMIN
Field Supervisor
Hudson-Lakes Region

STEVE SAVOY
Field Supervisor
Metro Region

CHRIS OST
Field Supervisor
Forensics Unit

TERRY MORAN
Field Supervisor
Capital-West Region

FIELD OPERATIONS
MISSION DIRECTED PROGRAMS

Facility Monitoring and Evaluations

Facility Reportable Incidents

Healthcare, Mental Health, and Suicide Prevention

Inmate Complaints and Grievances

Capacity Management, Staffing Services Technical Assistance

Facilities Development and Operational Transition (NITAP)

Compliance Monitoring for the Handling and Processing of Juveniles
(Juvenile Justice Delinquency Prevention Act 2002)

Regulatory Oversight of Secure Facilities Operated by Office of Children and Family Services

BUREAU OF FIELD OPERATIONS

The Bureau of Field Operations has primary responsibility for performing the Commission's agency functions. Among them are local correctional facility compliance assessments, incident reviews and investigations, mortality investigations, inmate grievance and complaint reviews, facility planning and a variety of technical assistance.

The Bureau of Field Operations operates throughout the correctional systems of New York State. These systems include all state, county, and New York City correctional facilities, as well as local police department lockups and those secure facilities operated by the New York State Office of Children of Family Services. In 2015 the Bureau of Field Operations was organized into four distinct units: Hudson Lakes, Capital West, and Metro and Central. This organizational structure continued into 2016.

Assessment/Monitoring Field Work, Incidents, Complaints and Grievances

Field staff based in both Albany and New York City conducted on-site visits primarily to local correctional facilities and police managed lockups. This fieldwork included assessing facility operational compliance with the Commission's minimum standards, monitoring unusual conditions (e.g. disturbances, strikes, job actions, and unstable conditions), evaluating management practices, preparing comprehensive reports, and providing technical assistance to facility administrators, including state operated correctional facilities. In the event that staff findings of non-compliance with minimum standards, or in other Commission regulated areas remain unresolved, the agency has recourse through the courts.

Facility Compliance Assessment: Minimum Standards Compliance Assessment

At the beginning of 2016, Commission field staff launched a four-year compliance auditing schedule. Over this period, staff will audit the level of compliance for each one of the thirty-three minimum standards at every county jail. Those standards identified as being essential to the jail's safe and secure operation (e.g. Security and Supervision, Sanitation and Inmate Grievances) will be assessed on a more frequent basis, in some cases every other year. Issues of non-compliance with a specific standard that cannot be satisfactorily resolved at the end of the calendar year is carried-over to the next audit cycle.

The Commission's current contingent of field staff are each assigned between eight to ten local county facilities. Each county facility is subject to a compliance assessment (audit) annually. Throughout the year staff may be responsible for a number of police lockups to audit, in addition to their county jail assignments. Field staff normally schedule at least one county facility assessment a month. The four-year schedule now in place identifies the ten to twelve standards subject to being assessed for each year. It typically requires between eight to ten days on-site for an experienced field staff member to complete a single facility audit.

On occasion, unanticipated events occur that require the redeployment of field staff in order to address an unanticipated, unscheduled emergency situation. Such a situation took place in 2015 when two Clinton Correctional Facility inmates escaped, thus disrupting the planned scheduling of field work, as Commission staff were assigned to monitor ongoing conditions within Clinton Correctional Facility for the two weeks immediately following the escape.

At the conclusion of the onsite work, Commission staff will schedule an exit briefing, preferably

with the sheriff and jail administrator, to present and discuss their findings as it applies to each standard covered. The discussion will include a preliminary explanation of any non-compliance finding. Upon their return to the office, Commission staff are responsible for preparing a detailed report that outlines all findings, as well as an explanation of the remedial action(s) necessary for meeting compliance. Commission staff schedule subsequent follow-up site visits throughout the year in order to assess the remedial steps taken by the facility. Once an issue of non-compliance has been fully addressed and the facility is declared to be in compliance, Commission staff will officially close-out the violation.

Commission field staff periodically conduct unannounced site visits to one of their assigned facilities. Such unscheduled "walk-throughs" often provide an opportunity to better assess a facility's overall working climate and morale.

The following list represents the New York State Minimum Standards (9 NYCRR) pertaining to the management of county correctional facilities:

Part 7002, Admissions
Part 7003, Security and Supervision
Part 7004, Correspondence
Part 7005, Prisoner Personal Hygiene
Part 7006, Discipline
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7010, Health Services
Part 7013, Classification
Part 7015, Sanitation
Part 7016, Commissary and Inmate Accounts
Part 7017, Personnel Standards
Part 7019, Gifts and Gratuities
Part 7022, Reportable Incidents
Part 7023, Access to Media
Part 7024, Religion
Part 7025, Packages
Part 7026, Printed Materials and Publications
Part 7028, Exercise
Part 7030, Nondiscriminatory Treatment
Part 7031, Legal Services
Part 7032, Grievance Program
Part 7033, Transfer of Records
Part 7039, Fire Prevention and Safety
Part 7040, Maximum Facility Capacity
Part 7041, Staffing Requirements
Part 7050, Variances
Part 7051, Funeral and Deathbed Visits
Part 7063, Chemical Agents
Part 7064, Human Immunodeficiency Virus and AIDS-Related Information Confidentiality
Part 7070, Educational Services for Youth

The following is a list of the all New York State county jails. After each are the specific standards that were assessed, or where follow-up assessment was conducted onsite in 2015. Also listed are additional compliance activity performed such issued notifications of violation and court directives issued. These actions are typically the result of significant and chronic non-compliance with a specific standard(s) over an extended period.

Albany County Jail

Compliance assessment conducted for the following:

Part 7004, Correspondence
Part 7005, Prisoner Personal Hygiene
Part 7017, Personnel Standards
Part 7024, Religion
Part 7025, Packages
Part 7026, Printed Materials and Publications
Part 7031, Legal Services
Part 7039, Fire Prevention and Safety
Part 7051, Funeral and Deathbed Visits

Follow up compliance status assessment conducted for the following:

None

Other Compliance Activity:

Juvenile Justice and Delinquency Prevention Act Compliance Assessment.

Allegany County Jail

Compliance assessment conducted for the following:

None

Follow up compliance status assessment conducted for the following:

None

Other Compliance Activity:

None

Broome County Jail

Compliance assessment conducted for the following:

Part 7003, Security and Supervision
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civilian Commitments
Part 7022, Reportable Incidents
Part 7033, Transfer of Records
Part 7040, Maximum Facility Capacity
Part 7070, Educational Services for Youth

Follow up compliance status assessment conducted for the following:

Part 7003, Security and Supervision
Part 7013, Classification
Part 7041, Staffing

Other Compliance Activity:

Notice of Violation 2010 Staffing Analysis Issued August 4, 2015

Cattaraugus County Jail
Compliance assessment conducted for the following:
Part 7006, Discipline
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7025, Packages
Part 7028, Exercise
Part 7039, Fire Prevention and Safety
Part 7070, Educational Services for Youth

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
500-b Approval 1st Occurrence - 10/15
500-b Approval 2nd Occurrence - 12/15

Cayuga County Jail
Compliance assessment conducted for the following:
Part 7002, Admissions
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civilian Commitments
Part 7009, Food Services
Part 7016, Commissary and Inmate Accounts
Part 7017, Personnel Standards
Part 7019, Gifts and Gratuities
Part 7022, Reportable Incidents
Part 7024, Religion
Part 7031, Legal Services
Part 7039, Fire Prevention and Safety
Part 7051, Funeral and Deathbed Visits
Part 7063, Chemical Agents

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Notice of Violation 9NYCRR, Part 7008, Inmate Visitation – 1/15

Chautauqua County Jail
Compliance assessment conducted for the following:
None

Follow-up compliance status assessment conducted for the following:
None

Other Compliance Activity:
None

Chemung County Jail

Compliance assessment conducted for the following:

Part 7003, Security and Supervision
Part 7005, Prisoner Personal Hygiene
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civilian Commitments
Part 7009, Food Services
Part 7015, Sanitation
Part 7019, Gifts and Gratuities
Part 7031, Legal Services
Part 7039, Fire Prevention and Safety

Follow up compliance status assessment conducted for the following:

None

Other Compliance Activity:

None

Chenango County Jail

Compliance Assessment conducted for the following:

Part 7003, Security and Supervision
Part 7015, Sanitation
Part 7016, Commissary and Inmate Accounts
Part 7019, Gifts and Gratuities
Part 7023, Access to Media
Part 7030, Nondiscriminatory Treatment
Part 7070, Educational Services for Youth

Follow up compliance status assessment conducted for the following:

None

Other Activities:

Juvenile Justice and Delinquency Prevention Act Compliance Assessment.
See Capacity Management.

Clinton County Jail

Compliance Assessment conducted for the following:

Part 7003, Security and Supervision
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civilian Commitments
Part 7015, Sanitation
Part 7016, Commissary and Inmate Accounts
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7033, Transfer of Records
Part 7050, Variances

Follow up compliance status assessment conducted for the following:

Part 7003, Security and Supervision
Part 7005, Prisoner Personal Hygiene
Part 7008, Visitation

Part 7016, Commissary and Inmate Accounts
Part 7031, Legal Services
Part 7032, Grievance Program
Part 7041, Staffing Requirements
Part 7051, Funeral and Deathbed Visits

Other Compliance Activity:
Delinquent Quarterly Classification Report

Columbia County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civilian Commitments
Part 7009, Food Services
Part 7015, Sanitation
Part 7017, Prisoner Personnel Standards
Part 7039, Fire Prevention and Safety
Part 7063, Chemical Agents

Follow up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7008, Visitation
Part 7028, Exercise

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Cortland County Jail
Compliance Assessment conducted for the following:
Part 7002, Admissions
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civilian Commitments
Part 7017, Personnel Standards
Part 7022, Reportable Incidents

Follow up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7009, Food Services
Part 7063, Chemical Agents

Other Compliance Activity:
None

Delaware County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7009, Food Services
Part 7015, Sanitation

Follow up compliance status assessment conducted for the following:
Part 7002, Admissions
Part 7006, Discipline
Part 7009, Food Services
Part 7013, Classification
Part 7015, Sanitation
Part 7024, Religion
Part 7025, Packages
Part 7033, Transfer of Records
Part 7041, Staffing Requirements

Other Compliance Activity:
Part 7040, Maximum Facility Capacity
Double Celling Review

Dutchess County Jail
Compliance assessment conducted for the following:
Part 7002, Admissions
Part 7005, Prisoner Personal Hygiene
Part 7009, Food Services
Part 7015, Sanitation
Part 7019, Gifts and Gratuities
Part 7033, Transfer of Records
Part 7039, Fire Prevention and Safety
Part 7051, Funeral and Deathbed Visits

Follow up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7023, Access to Media

Additional Compliance Issues:
See NITAP
See Variances

Erie County Holding Center
Compliance Assessment conducted for the following:
Part 7002, Admissions
Part 7004, Correspondence
Part 7005, Prisoner Personal Hygiene
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7016, Commissary and Inmate Accounts
Part 7019, Gifts and Gratuities
Part 7024, Religion
Part 7025, Packages
Part 7026, Printed Materials and Publications
Part 7028, Exercise
Part 7030, Nondiscriminatory Treatment
Part 7039, Fire Prevention and Safety
Part 7040, Maximum Facility Capacity
Part 7051, Funeral and Deathbed Visits

Part 7040, Maximum Facility Capacity
Part 7050, Variances
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow up compliance status assessment conducted for the following:
No follow-up compliance activity

Other Compliance Activity:
Walsh Escape Investigation and Report
See NITAP
Inmate Surrender of Good Time
Visitation Partition
Discontinuation of Daily Reporting

Erie County Correctional Facility
Part 7002, Admissions
Part 7004, Correspondence
Part 7005, Prisoner Personal Hygiene
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7016, Commissary and Inmate Accounts
Part 7019, Gifts and Gratuities
Part 7024, Religion
Part 7025, Packages
Part 7026, Printed Materials and Publications
Part 7028, Exercise
Part 7030, Nondiscriminatory Treatment
Part 7039, Fire Prevention and Safety
Part 7040, Maximum Facility Capacity
Part 7050, Variances
Part 7051, Funeral and Deathbed Visits
Part 7070, Educational Services for Youth

Follow up compliance status assessment conducted for the following:
No follow-up compliance activity

Other Compliance Activity:
None

Essex County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7005, Prisoner Personal Hygiene
Part 7006, Discipline
Part 7022, Reportable Incidents
Part 7024, Religion
Part 7031, Legal Services
Part 7032, Grievance Program

Follow up compliance status assessment conducted for the following:
Part 7039, Fire Prevention and Safety

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Franklin County Jail
Compliance Assessment conducted for the following:
Part 7002, Admissions
Part 7003, Security and Supervision
Part 7004, Correspondence
Part 7005, Prisoner Personal Hygiene
Part 7015, Sanitation
Part 7016, Commissary and Inmate Accounts
Part 7017, Personnel Standards
Part 7019, Gifts and Gratuities
Part 7023, Access to Media
Part 7025, Packages
Part 7028, Exercise
Part 7070, Educational Services for Youth

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
500-b Approval 1st Occurrence
See NITAP
Physician Hire

Fulton County Jail
Compliance Assessment conducted for the following:
Part 7002, Admissions
Part 7003, Security and Supervision
Part 7013, Classification
Part 7015, Sanitation
Part 7031, Legal Services
Part 7032, Grievance Program
Part 7070, Educational Services for Youth

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
None

Genesee County Jail
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
None

Greene County Jail
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Activity
See NITAP
Part 7032, Classification - Technical Assistance

Hamilton County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7009, Food Services
Part 7015, Sanitation

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Herkimer County Jail
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
Part 7002, Admissions
Part 7003, Security and Supervision
Part 7004, Correspondence

Other Compliance Activity:
None

Jefferson County Jail
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
See NITAP

See Capacity Management
See Staffing

Lewis County Jail
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
Part 7003, Security and Supervision
Part 7004, Correspondence
Part 7006, Discipline
Part 7013, Classification
Part 7022, Reportable Incidents
Part 7025, Packages
Part 7039, Fire Prevention and Safety
Part 7040, Maximum Facility Capacity
Part 7041, Staffing Requirements

Other Activity:
500-b Approval 1st Occurrence - 3/15
500-b Approval 2nd Occurrence - 4/15
See NITAP

Livingston County Jail
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Part 7013, Classification Technical Assistance
See Capacity Management
See NITAP

Madison County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7004, Correspondence
Part 7005, Prisoner Personal Hygiene
Part 7006, Discipline
Part 7009, Food Services
Part 7015, Sanitation
Part 7016, Commissary and Inmate Accounts
Part 7024, Religion
Part 7026, Printed Materials and Publications
Part 7030, Nondiscriminatory Treatment
Part 7031, Legal Services
Part 7033, Transfer of Records
Part 7039, Fire Prevention and Safety
Part 7040, Maximum Facility Capacity

Part 7051, Funeral and Deathbed Visits
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow up compliance status assessment conducted for the following:
None

Other Activity:
See Staffing

Monroe County Jail
Compliance Assessment conducted for the following:
Part 7002, Admissions
Part 7003, Security and Supervision
Part 7008, Visitation
Part 7009, Food Services
Part 7016, Commissary and Inmate Accounts
Part 7024, Religion
Part 7025, Packages
Part 7026, Printed Materials and Publications
Part 7028, Exercise
Part 7033, Transfer of Records

Follow up compliance status assessment conducted for the following:
Part 7051, Funeral and Deathbed Visits

Other Compliance Activity:
None

Monroe County Penitentiary
Compliance Assessment conducted for the following:
Part 7002, Admissions
Part 7003, Security and Supervision
Part 7008, Visitation
Part 7009, Food Services
Part 7016, Commissary and Inmate Accounts
Part 7024, Religion
Part 7025, Packages
Part 7026, Printed Materials and Publications
Part 7028, Exercise
Part 7033, Transfer of Records

Follow up compliance status assessment conducted for the following:
Part 7051, Funeral and Deathbed Visits

Other Activity:
None

Montgomery County Jail
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See NITAP

Nassau County Correctional Facility
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7009, Food Services
Part 7015, Sanitation
Part 7032, Grievance Program
Part 7039, Fire Prevention and Safety

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See Capacity Management

Niagara County Jail
Compliance Assessment conducted for the following:
Part 7002, Admissions
Part 7003, Security and Supervision
Part 7004, Correspondence
Part 7005, Prisoner Personal Hygiene
Part 7009, Food Services
Part 7015, Sanitation
Part 7016, Commissary and Inmate Accounts

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
None

Oneida County Jail
Compliance Assessment conducted for the following:
Part 7002, Admissions
Part 7003, Security and Supervision
Part 7015, Sanitation
Part 7030, Non-discriminatory Treatment
Part 7050, Variances

Follow up compliance status assessment conducted for the following:
Part 7002, Admissions
Part 7003, Security and Supervision

Other Compliance Activity:
None

Onondaga Justice Center
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
Section 7032.4(f), Insufficient Grievance Investigations
Constant Supervision Approval
Admission Processing – Timeframes
Overcrowding Admissions Area

Onondaga County Penitentiary
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7015, Sanitation
Part 7050, Variances

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
None

Ontario County Jail
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
500-b Approval 1st Occurrence 2/15
500-b Approval 2nd Occurrence 4/15
500-b Approval 3rd Occurrence 10/15
See Capacity Management
See NITAP
See Staffing
Constant Supervision Approval
County Court Holding Cell Approval

Orange County Jail
Compliance assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See NITAP
See Staffing
Constant Supervision Approval
Medical Housing Approval
County Court Holding Cell Approval
Medical Unit Exercise Yard

Orleans County Jail
Compliance assessment conducted for the following:
Part 7005, Prisoner Personal Hygiene
Part 7016, Commissary and Inmate Accounts
Part 7026, Printed Materials and Publications
Part 7032, Grievance Program
Part 7041, Staffing Requirements

Follow up compliance status assessment conducted for the following:
None

Other Activity:
Notice of Violation, Part 7041, Staffing (Officer-In-Charge)
See Staffing

Oswego County Jail
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Legal Opinion Gender Specific Supervision Requirements

Otsego County Jail
Compliance Assessment conducted for the following:
Part 7002, Admission
Part 7003, Security and Supervision
Part 7009, Food Services
Part 7015, Sanitation
Part 7017, Personnel Standards
Part 7022, Reportable Incidents
Part 7050, Variances

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
None

Putnam County Jail
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See Legal

Rensselaer County Jail
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
None

Rockland County Jail
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
None

St. Lawrence County Jail
Compliance Assessment conducted for the following:
(Assessment completed in December 2014)
Part 7003, Security and Supervision
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitments
Part 7015, Sanitation
Part 7019, Gifts and Gratuities
Part 7033, Transfer of Records
Part 7051, Funeral and Deathbed Visits

Follow up compliance status assessment conducted for the following:
Part 7002, Admissions
Part 7003, Security and Supervision
Part 7005, Prisoner Personal Hygiene
Part 7013, Classification

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Saratoga County Jail
Compliance Assessment conducted for the following:
Part 7002, Admissions
Part 7004, Correspondence
Part 7013, Classification
Part 7022, Reportable Incidents
Part 7026, Printed Materials and Publications
Part 7028, Exercise
Part 7070, Educational Services for Youth

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See Capacity Management

Schenectady County Jail
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Medical pre-screening shed request

Schoharie County Jail
Compliance Assessment conducted for the following:
Part 7041, Staffing Requirements

Follow up compliance status assessment conducted for the following:
Part 7041, Staffing Requirements

Other Compliance Activity:
See NITAP

Schuyler County Jail
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
None

Seneca County Jail
Compliance Assessment conducted for the following:
Part 7002, Admissions
Part 7003, Security and Supervision
Part 7004, Correspondence
Part 7006, Discipline
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7016, Commissary and Inmate Accounts
Part 7019, Gifts and Gratuities
Part 7022, Reportable Incidents
Part 7031, Legal Services
Part 7041, Staffing Requirements
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
None

Steuben County Jail
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
500-b Approval 1st Occurrence 5/15
500-b Approval 2nd Occurrence 6/15

Suffolk County Jail (Riverhead & Yaphank)
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
Part 7002, Admissions
Part 7003, Security and Supervision
Part 7015, Sanitation
Part 7016, Commissary and Inmate Accounts
Part 7022, Reportable Incidents
Part 7032, Grievance Program
Part 7039, Fire Prevention and Safety

Other Compliance Activity:
See Capacity Management
See NITAP

Sullivan County Jail
Compliance Assessment conducted for the following:
Part 7006, Discipline
Part 7009, Food Services
Part 7023, Access to Media
Part 7039, Fire Prevention and Safety
Part 7063, Chemical Agents
Part 7070, Educational Services for Youth

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See NITAP

Tioga County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7004, Correspondence
Part 7009, Food Services
Part 7015, Sanitation
Part 7023, Access to Media
Part 7025, Packages
Part 7026, Printed Materials and Publications
Part 7039, Fire Prevention and Safety

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See Capacity Management

Tompkins County Jail
Compliance Assessment conducted for the following:
Part 7005, Prisoner Personal Hygiene
Part 7007, Good Behavior Allowances Against Definite Sentences and Certain Civil Commitment
Part 7015, Sanitation
Part 7019, Gifts and Gratuities
Part 7022, Reportable Incidents
Part 7024, Religion
Part 7033, Transfer of Records
Part 7040, Maximum Facility Capacity
Part 7063, Chemical Agents

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See Construction
See Capacity Management
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Ulster County Jail
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
None

Warren County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7004, Correspondence
Part 7023, Access to Media
Part 7026, Printed Materials and Publications
Part 7028, Exercise
Part 7030, Nondiscriminatory Treatment
Part 7032, Grievance Program
Part 7051, Funeral and Deathbed Visits
Part 7070, Educational Services for Youth

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See Staffing
Family Court Construction
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Washington County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7009, Food Services
Part 7015, Sanitation
Part 7022, Reportable Incidents

Follow up compliance status assessment conducted for the following:
None

Other Activity: None

Wayne County Jail

Compliance Assessment conducted for the following:

Part 7003, Security and Supervision
Part 7004, Correspondence
Part 7008, Visitation
Part 7009, Food Services
Part 7015, Sanitation
Part 7016, Commissary and Inmate Accounts
Part 7017, Personnel Standards
Part 7019, Gifts and Gratuities
Part 7025, Packages
Part 7026, Printed Materials and Publications
Part 7051, Funeral and Deathbed Visits

Follow up compliance status assessment conducted for the following:

None

Other Compliance Activity:

See Capacity Management

Westchester DOC/Westchester Penitentiary

Compliance Assessment conducted for the following:

Part 7002, Admissions
Part 7003, Security and Supervision
Part 7004, Correspondence
Part 7007, Good Behavior Allowance Against Definite Sentences and Certain Civil Commitments
Part 7008, Visitation
Part 7009, Food Services
Part 7010, Health Services
Part 7013, Classification
Part 7015, Sanitation
Part 7016, Commissary and Inmate Accounts
Part 7017, Personnel Standards
Part 7022, Reportable Incidents
Part 7024, Religion
Part 7025, Packages
Part 7028, Exercise
Part 7031, Legal Services
Part 7051, Funeral and Deathbed Visits
Part 7063, Chemical Agents

Follow up compliance status assessment conducted for the following:

None

Other Compliance Activity:

See Staffing
Part 7008, Visitation – approval for installation of new tables

Wyoming County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7009, Food Services
Part 7015, Sanitation
Part 7032, Grievance Program

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment

Yates County Jail
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7009, Food Services
Part 7015, Sanitation

Follow up compliance status assessment conducted for the following:
None

Other Activity:
Juvenile Justice and Delinquency Prevention Act Compliance Assessment
See Staffing

New York City Department of Correction

Department-Wide Notice of Violation

Anna M. Kross Center
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7009, Food Services
Part 7015, Sanitation

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See Construction

Brooklyn House of Detention
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See Construction

Eric M. Taylor Center
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See Construction

George Motchen Detention Center
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See Construction

George R. Vierno Center
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See Construction

Manhattan Detention Center
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
None

North Infirmary Command
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
See Construction

Otis Bantum Correctional Center
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Enhanced Supervision Approval

Robert N. Doraven Center
Compliance Assessment conducted for the following:
Part 7003, Security and Supervision
Part 7006, Discipline
Part 7013, Classification
Part 7022, Reportable Incidents
Part 7032, Grievance Program

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
Use of Force Information Request
See Construction
See Capacity Management
Court Directive Issued 9/15
- 9 NYCRR § 7003.3(a)
- 9 NYCRR § 7003.3(e)
- 9 NYCRR § 7003.3(f)
- 9 NYCRR § 7063.5(c)

Rose M. Singer Center
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity: See Construction

Vernon C. Bain Center
Compliance Assessment conducted for the following:
None

Follow up compliance status assessment conducted for the following:
None

Other Compliance Activity:
None

West Facility

Compliance Assessment conducted for the following:

None

Follow up compliance status assessment conducted for the following:

None

Other Compliance Activity:

None

COUNTY FACILITY REPORTABLE INCIDENTS

In fulfilling its oversight and regulatory responsibilities, the Commission requires that all local correctional facilities report incidents that endanger inmates or staff, or disrupt facility operations. In 2015 the Commission received 3315 **Reportable Incidents from county jails** according to the Commission's list of mandatory categories. Incidents are reported around the clock. Every incident report is screened by a Correctional Facility Specialist, logged, and then assigned a file number. These reports are then reviewed to determine whether any further inquiry is necessary and if on-site follow-up is appropriate.

Table I	2015 County Jail Reportable Incidents
CATEGORY	**# INCIDENTS**
ASSAULT	
Inmate on Inmate Assault	**593**
Inmate/Personnel Assault	**170**
Inmate/Visitor Assault	**1**
Personnel/Inmate Assault	**2**
Visitor/Inmate Assault	**1**
Inmate Group Assault/Gang Assault	**39**
Visitor Assault	**0**
SEX OFFENSE	
Inmate on Inmate Sexual Offense	**1**
Inmate/Personnel Sexual Assault	**12**
Inmate/Visitor Sexual Assault	**0**
Personnel/Inmate Sexual Assault	**0**
Visitor/Inmate Sexual Assault	**0**
CONTAGIOUS ILLNESS	
Inmate Contagious Illness	**20**
Personnel Contagious Illness	**0**
Group Contagious Illness	**0**
CONTRABAND	
Inmate Introduced Contraband	**416**
Personnel Introduced Contraband	**3**
Visitor Introduced Contraband	**70**
Unknown Source Contraband	**103**
Arrest of Security Staff/Civilian Staff Contraband	**0**
DEATH	
Inmate Death (County and NYCDOC)	**13**
Personnel Death	**0**
Visitor Death	**0**
Newborn/Infant Death	**0**
GENERAL INCIDENT DISRUPTION	

Major Maintenance/Service Disruption	**12**
GENERAL INCIDENT DISTUBANCES	
Major Disturbance	
Minor Disturbance	**16**
Individual Inmate Disturbance	**861**
GENERAL INCIDENT NATURAL/CIVIL	
Natural/Civil Emergency	**1**
Declared County/State Emergency	**0**
Evacuation (All or a portion of the facility)	**0**
GENERAL INCIDENT ESCAPE/ABSCONDENCE/ERRONEOUS RELEASE	
Escape County Supervision	**1**
Escape Other Agency Supervision	**0**
Attempted Escape	**3**
Abscondence	**0**
Erroneous Release	**2**
GENERAL INCIDENT FIRE	
Fire/Arson	**1**
Fire/Non-Arson	**3**
Fire/Unknown Source	**0**
DISCHARGE OF FIREARM	
Discharge of Firearm	**4**
GROUP ACTION	
Inmate Group Action	**5**
Personnel Group Action	**0**
HOSTAGE SITUATION	
Hostage Situation	**0**
PHYSICAL INJURY/HOSPITALIZATION	
Inmate Attempted Suicide	**149**
Inmate Self-Inflicted Injury	**70**
Inmate Accidental Injury	**181**
Personnel Accidental Injury	**2**
Hospital Admission of Inmate	**560**
Release of Hospitalized Inmate	**0**
TOTAL	**3315**

OFFICE OF CHILDREN and FAMILY SERVICES' REPORTABLE INCIDENTS

Pursuant to New York State Correction Law, §§45 [6-b] and 47 [2], the five (5) secure centers operated by the New York State Office of Children and Family Services (OCFS) are required to report to the State Commission of Correction all incidents deemed to be of a serious or potentially problematic nature. Reports are received by Commission staff twenty-four hours a day, seven days a week. Each individual report is reviewed by a facility specialist, assigned a tracking number and then logged into the Commission's OCFS incident data base. While any one incident may involve more than one category type, the table below lists only the major categories as defined by SCOC. Following the initial review, the Commission then determines if additional information should be gathered or if the incident merits an on-site investigation. Both major and sub-categories are considered when determining the appropriate follow-up action by Commission staff.

Table II: 2015 OCFS Secure Center Reportable Incidents - SCOC Primary Categories Error! Bookmark not defined.

CATEGORY	# INCIDENTS
Assaults on Resident(s) by Resident(s) AOR	224
Assaults on Staff by Resident(s) AOS	201
Contraband Controlled Substance	8
Contraband (other)	76
Contraband (Weapon)	10
Resident Criminal Arrest	3
Destruction of Property	61
Facility Discipline Action	441
Emergency Room Treatment	189
Hospitalization	2
Individual Resident Disturbance	300
Minor Resident Disturbance	200
Physical Restraint Applied	161
Resident Accidental Injury	67
Resident Group Disturbance	31
Resident Incident Injury	140
Staff Accidental Injury	43
Staff Incident Injury	141
TOTAL	2298

LOCAL POLICE REPORTABLE INCIDENTS

Pursuant to Correction Law, §45[6], local police departments operating lockups are required to report to the Commission those incidents deemed to be of an unusual nature which may endanger detainees, law enforcement staff, or otherwise disrupt facility operations. In 2015, the Commission received 825 reportable incidents from local police agencies. Reportable incidents are received around the clock. Every incident report is reviewed by a Facility Specialist, assigned a tracking number and logged. Based upon the initial review, it is then determined if additional inquiry, technical assistance, or an on-site investigation is necessary.

Table III: 2015 Local Police Reportable Incidents	
CATEGORY	# INCIDENTS
Suicides	1
Attempted Suicide	38
Detainee to Hospital	645
Other	141
TOTAL	825

STATE and LOCAL COMPLAINTS

Complaints from inmates, their families, advocates, elected officials, and other state and local agencies are received by the Commission on a daily basis. When complaints are received, they are classified and electronically logged. Many county complaints can be addressed through the facility grievance process. Such complaints are typically returned to the sender for filing with the facility grievance program. Those retained are referred to a Correctional Facility Specialist for response. Health complaints are referred directly to the Commission's Forensic Medical Unit for review and follow-up when appropriate. An electronic query of the complaints received from a particular facility is generated by field staff as part of their preparation for future site visits.

Beginning in 1984, the Commission developed a procedure for the centralized compilation of inmate complaints from state and local facilities. This procedure breaks down complaints into discrete categories, and allows Correctional Facility Specialists to address specific problems and trends in a systematic fashion. Inmates often report multiple issues within a single piece of correspondence. In 2015, the Commission received 3616 categories of complaints from state and local inmates or their families. The numbers below reflect the breakdown of those complaint categories.

Below is a summary of state and local facility complaint categories received in **2015:**

Table IV	State Complaints		Local Complaints
CATEGORY	Total	CATEGORY	Total
Non Discriminatory Treatment	2	Non Discriminatory Treatment	1
Visitation	9	Visitation	6
Facility Transfer / Classifications	59	Substitute Jail Order	1
Security and Supervision	2	Security and Supervision	0
Sanitation	1	Sanitation	0
Religion	4	Religion	6
Prisoner Personal Hygiene	4	Prisoner Personal Hygiene	0
Printed Materials and Publications	0	Printed Materials and Publications	0
Personnel Standards(Officer Conduct)	48	Personnel Standards	12
Personal Safety	118	Personal Safety	11
Packages	31	Packages	3
Double Bunking/Double Celling	3	Maximum Facility Capacity	0
Legal Services/Law Library	6	Legal Services	7
Inmate Workers	5	Inmate Workers	1
Health Services /Medical Treatment	68	Health Services /Medical Treatment	7
Inmate Grievance Program	74	Inmate Grievance Program	99
Good Behavior Calculation	1	Good Behavior	0
Food Services	38	Food Services	29
Exercise	4	Exercise	1
Educational Services	1	Discipline Program	62
Funeral Deathbed Visit	5	Construction and Renovation	5
Discipline Program	160	Correspondence	31
Correspondence	54	Commissary	14
Commissary	6	Classification	18
Admissions/Reception	0	Admissions/Reception	0
Access To Media	0	Access To Media	0
		Funeral Deathbed Visit	0
		Educational Services for Youth	1
Miscellaneous	127	Miscellaneous	55
TOTAL	830	TOTAL	370

COUNTY GRIEVANCES

According to the Commission's Minimum Standards, 9 NYCRR Part 7032, each county correctional facility is required to establish, implement, and maintain a formal inmate grievance program. This program allows inmates a process at the facility level to address their complaints regarding written or unwritten facility policies, procedures, rules, practices, programs, or the action or inaction of any person within the facility. If a grievance is denied at the facility level, inmates have the option to appeal that disposition to the Commission within a specified time frame. Appeals are forwarded to the Commission for review and disposition by the Citizen's Policy and Complaint Review Council (CPCRC). **During 2015, the Commission received 2109 categories of grievances from local inmates (See Table V).** When grievances are appealed to the Commission, they are reviewed, categorized, and electronically filed. Grievances are forwarded to the Correctional Facility Specialist assigned to the sending facility. Grievances that pertain to health care matters are forwarded directly to the Forensic Medical Unit for processing. Grievances are thoroughly reviewed and researched by the Correctional Facility Specialist, the Deputy Director of Operations, and the Commission's Office of Counsel. Grievances are then put before the CPCRC for final disposition. Both the inmate/grievant and facility officials receive a written decision from the CPCRC for each grievance received. As with complaints, field staff produces a report from the grievance data base that provides them with the total number of grievances by category prior to a scheduled county jail site visit.

Table V Grievance Categories for 2015

Table V: County Facility Grievances Categories for 2015	
CATEGORY	*# GRIEVANCES*
Visitation	64
Security and Supervision	51
Sanitation	21
Religion	60
Prisoner Personal Hygiene	92
Printed Materials and Publications	12
Personnel Standards	211
Personal Safety	1
Packages	16
Maximum Facility Capacity	5
Legal Services	175
Inmate Workers	1
Health Services /Medical Treatment	358
Inmate Grievance Program	143
Good Behavior Allowance	2
Food Services	173
Exercise	45
Educational Services for Youth	6

Table V: County Facility Grievances Categories for 2015	
Discipline Program	160
Correspondence	78
Commissary	51
Classification	58
Admissions/Reception	13
Access to Media	1
Staffing	4
Funeral and Deathbed Visits	4
Nondiscriminatory Treatment	70
Miscellaneous	234
TOTAL	**2109**

NYS Minimum Standards Compliance Monitoring - 9 NYCRR, Parts 7500-7512/ Juvenile Justice and Delinquency Prevention Act (JJDPA)

The Commission's Field Operations Bureau is responsible for monitoring New York State's compliance with the Juvenile Justice and Delinquency Prevention Act (JJDPA). Where possible, this work is paired with the Commission's auditing of local police lockups to determine compliance with the New York State Minimum Standards for City Jails, Town and Village Lockups, Parts 7500-7512. In 2015, compliance of the JJDPA and Parts 7500-7512 for jurisdictions upstate were conducted at the following facilities:

First Quarter Compliance Assessments
Buffalo Police Department
East Greenbush Police Department
Menands Police Department
Niskayuna Police Department
Rotterdam Police Department
Schenectady County Jail
Schenectady Police Department

Second Quarter Compliance Assessments
Amherst Police Department
East Aurora Police Department
Elmira City Police Department
Hamburg Town Police Department
Hamburg Village Police Department
Horseheads Police Department
Lackawanna Police Department
Lancaster Police Department
Newburgh City Police Department
Newburgh City Court
Newburgh Town Police Department
Norwich Police Department
Orchard Park Town Police Department
Poughkeepsie City Police Department
Rensselaer Police Department
Sydney Police Department
West Seneca Police Department

Third Quarter Compliance Assessments
Clemente State Park Police Department
Gloversville Police Department
Highland Falls Police Department
Ithaca Police Department
Larchmont Police Department
Newburgh City Court Police Department
Newburgh City Police Department
Piermont Police Department
Poughkeepsie Police Department
Riverbank State Park Police Department
Rockland County District Attorney's Office

Sag Harbor Police Department
Shelter Island Police Department
Suffolk County Police Department Precinct 1
Suffolk County Police Department Precinct 2
Suffolk County Police Department Precinct 3
Suffolk County Police Department Precinct 4
Suffolk County Police Department Precinct 5
Suffolk County Police Department Precinct 6
Suffolk County Police Department Precinct 7
Town of Newburgh Police Department
Tuxedo Police Department
Walton Police Department
Westchester County Department of Corrections

Fourth Quarter Compliance Assessments
Buffalo Police Department
Hastings Police Department
Mamaroneck Town Police Department
Mamaroneck Village Police Department
New Rochelle Police Department
Niagara Falls Police Department
Pelham Manor Police Department

In 2015 compliance reviews with the requirements of the JJDPA and Parts 7500-7512 for New York City jurisdictions were conducted at the following facilities:

First Quarter Compliance Assessments
Brooklyn Court Section
NYPD # 6th Precinct
NYPD #10th Precinct
NYPD #25th Precinct
NYPD Midtown Precinct
NYPD #26th Precinct
NYPD #28th Precinct
NYPD #43rd Precinct
NYPD #45th Precinct
NYPD #33rd Precinct
NYPD #34th Precinct
NYPD Central Park
NYPD #30th Precinct
NYPD #32nd Precinct
Manhattan District Attorney's Office

Second Quarter Compliance Assessments
NYPD #1st Precinct
NYPD #44th Precinct
NYPD #46th Precinct
NYPD #47th Precinct
NYPD #49th Precinct
NYPD #50th Precinct
NYPD #52nd Precinct

Third Quarter Compliance Assessments
NYPD 40th Precinct
NYPD 41st Precinct
NYPD 42th Precinct
NYPD 48th Precinct
NYPD 60th Precinct
NYPD 78th Precinct
NYPD 79th Precinct
NYPD 81st Precinct
NYPD 110th Precinct
NYPD 112th Precinct
Yankee Stadium Lockup
NYC MTA Atlantic Terminal
NYC MTA District 1
NYC MTA District 2
NYC MTA District 3
NYC MTA District 4
NYC MTA District 5
NYC MTA District 6
NYC MTA District 7

Fourth Quarter Compliance Assessments
NYPD 23rd Transit Police

New York State Family Courts

Compliance with the Juvenile Justice and Delinquency Prevention Act was assessed at the following Family Court Facilities in 2015:

First Round
Broome Family Court
Chemung Family Court
Chenango Family Court
Cortland Family Court
Delaware Family Court
Madison Family Court
Otsego Family Court
Schuyler Family Court
Tioga Family Court
Tompkins Family Court

Second Round
Clinton County Family Court
Dutchess County Family Court
Essex County Family Court
Franklin County Family Court
Fulton County Family Court
Hamilton County Family Court
Montgomery County Family Court
New Rochelle Family Court

Orange Family Court
Putnam County Family Court
Rockland Family Court
Saratoga County Family Court
Schenectady County Family Court
St. Lawrence County Family Court
Warren County Family Court
Washington County Family Court
White Plains Family Court
Yonkers Family Court

Capacity Management

At the end of 2015, there were approximately 76,414 persons incarcerated within New York State's prisons, local county jails, and the New York City Department of Correction (NYCDOC). As a result, inmate capacity management within various facilities can be problematic due to overcrowding. The Commission continually monitors the capacities of these facilities, via a daily electronic population reporting system for county facilities, and via facsimile for New York City and state prisons. The latter is also utilized to monitor the census of all county-sentenced inmates scheduled for transfer to a state facility. In 2015, SCOC revised the Maximum Facility Capacity at the following facilities:

Delaware County Jail – Reformulation (Double Celling)
Livingston County Jail – Reformulation
Wayne County Jail – Reformulation
Nassau County Correctional Center – Reformulation
Saratoga County Jail – Reformulation
Ontario County Jail – Reformulation
Orange County Jail – Reformulation

When capacity issues arise, the Commission employs its statutory authority under Correction Law section 504 to move local prisoners from overpopulated facilities to those with available housing. The Commission's authority to set facility prisoner capacities allows it to evaluate and approve temporary or interim space in crowded local facilities. This authority also allows the Commission to authorize double-bunking and double-celling programs in the DOCCS.

Double-Bunking, Double-Celling, & Special Housing
New York State Department of Corrections and Community Supervision (DOCCS)

By the end of 2015, double-bunked cells within the NYS DOCCS totaled 99 beds and were located at both Wallkill (72) and Clinton (27) Correctional Facilities. This arrangement is the result of a variance approved at the Commission's January 2015 meeting. In addition, the Commission has sanctioned the use of an additional 1,400 cells, located in seven 200-bed housing areas, designated as S-Blocks, or Special Housing Units, in which each cell is permanently approved to house two individuals. During the 2015 reporting period, Commission staff conducted a site visit to each of the two facilities managing double-bunk variances for the purpose of inspecting each double-bunked housing area. This inspection includes the assessment of the general physical condition of the space and notes the condition and operation of any equipment in the area. SCOC personnel further determine the degree to which normal inmate services are impacted by double-bunking, such as medical, dental, food services, visitation, laundry services, and the availability

of academic and vocational programs. During the 2015 site visit, all of these areas were deemed to be operating at an acceptable level.

Facility Planning and Improvement

The basic function relegated to Facility Planning and Improvement is set forth in two areas of New York State Law. According to NYS Correction Law, section 45(10), the State Commission of Correction shall "approve or reject plans and specifications for the construction or improvement of correctional facilities."

The second area is found in County Law, Section 216. This statute states: "No site or location for any county jail shall be selected or acquired by such board of supervisors which shall not have been approved by the state commission of correction." The Commission provides technical planning, capital project development and construction plan review services for development of new correctional facilities. The Commission has viewed the modernization of an aging and overcrowded local correctional infrastructure as a major priority. The agency reviews and approves site selection and construction plans, monitors progress, and assists in problem identification and solution. In carrying out these responsibilities, staff responsibility goes far beyond the simple review of blueprints and plans. Particular attention is directed to building code requirements, operational rules and regulations, and case law affecting conditions of confinement. Additionally, Commission staff provides local decision makers with technical assistance to help assure the jurisdiction of satisfactory, safe and secure methods of incarcerating offenders in a cost effective manner.

During 2015, findings and determination reports relative to construction plans and specification were prepared by Commission staff and forwarded with recommended action on forty (40) projects variously submitted by the New York State Department of Correction and Community Supervision (NYS DOCCS), county correctional facilities, police/court detention units, and the New York City Department of Correction (NYCDOC). The calendar below lists those projects submitted to the Commission in 2015.

An additional twenty two (22) "replace or renovate" projects were also reviewed and processed. Due to their limited scope of work, the approval for these projects was waived by the Commission. Commission staff also processed five (5) change order requests for previously approved projects. Commission staff provided thirty-six (36) technical assistance requests related to facility planning and development.

	2015 Commission of Correction Monthly Facility Improvement Agenda
	January
COUNTY JAIL	Schenectady County Jail - Pre-Screening Shed Construction Project
NYC DOC	Otis Bantum Correctional Center Annex (OBCC) - New Multi-Purpose Rooms for the Enhanced Supervision Area Project
	February
NYS DOCCS	Sullivan Correctional Facility - Replace Perimeter Fence, Perimeter Systems and Redirect Drainage Project
NYS DOCCS	Wallkill Correctional Facility – Provide Perimeter Security Fence/Alarm System Project
COUNTY JAIL	Suffolk County Sheriff's Office - Bid Package "E" Renovation to Dorms at Yaphank Correctional Facility Project
	March
COUNTY JAIL	Montgomery County Jail - Jail Fence Modification
COUNTY JAIL	Ontario County Jail – Pod Segregation and Constant Watch Dorm Modification
	April
NYS DOCCS	Eastern Correctional Facility - Replace Perimeter Fence, Rework Visitor Center Parking Lot Project
NYS DOCCS	Green Haven Correctional Facility - Replace Windows, Doors and Locking Systems 1st Floor J-Block, Building 15 Project
NYS DOCCS	Shawangunk Correctional Facility - Replace Cell Block Glazing A, B, C, and D Project
COUNTY JAIL	Lewis County Jail - Control Room/911 Center Renovation Project
COUNTY JAIL	Saratoga County Jail - Jail Chapel Conversion to Dormitory Project
	May
COUNTY JAIL	Franklin County Jail - Outdoor Exercise Staff Shelter Project
COUNTY JAIL	Montgomery County Jail - Shower Renovation Project
COUNTY JAIL	Orange County Jail - Control Room Renovation Project
COUNTY JAIL	Westchester County Jail - Perimeter Road and Fencing Renovation Project
LOCAL PD	Nassau County Police Department - New Fourth Precinct Building Project
LOCAL PD	Seneca Falls Police Department – New Municipal Building Lockup/Court Hold Project

	June
NYS DOCCS	Midstate Correctional Facility – Window Replacement Project, Buildings 3 and 22
COUNTY JAIL	Greene County Jail - Request for Approval of Site Selection for New County Jail
COUNTY JAIL	Schoharie County Jail - Request for Approval of Site Selection for New County Jail
LOCAL PD	Nassau County Police Department - New Fourth Precinct Building Project
	July
NYS DOCCS	Groveland Correctional Facility - Window Replacement Project, Buildings 143, 144, and 145
COUNTY JAIL	Erie County Holding Center and Erie County Correctional Facility - Security and Video Upgrade and Other Various Renovation Projects
	August
NYC DOC	George Motchan Detention Center (GMDC) - New School Annex Renovation
COUNTY JAIL	Dutchess County Jail - Request for Approval of Site Selection for New County Jail
COUNTY JAIL	Rockland County Jail - Cell Window Modification Project
COUNTY COURT	Orange County Court Detention – New court holding cell construction Project
COUNTY COURT	Warren County Interim Court - New court holding cell construction Project
	September
NYC DOC	Eric M. Taylor Center (EMTC) - Entrance Renovation and Redesign Project
NYC DOC	George R. Vierno Center (GRVC) - Entrance Renovation and Redesign Project
NYS DOCCS	Auburn Correctional Facility – Rehabilitate Non-Contact Visit Space Project
NYS DOCCS	Edgecombe Correctional Facility - Repair/Replace Security Fencing Project
COUNTY JAIL	Orange County Correctional Facility - Medical Unit Exercise Yard Construction Project
LOCAL PD	Greece Police Department - New Police Headquarters and Courthouse Addition Project
	October
NYS OCFS	Brookwood Secure Center - Rehabilitate Resident Units 5 through 10 Project
NYS DOCCS	Clinton Correctional Facility - Rehabilitate 2nd Floor Dorm J Building 23 Project
NYS DOCCS	Clinton Correctional Facility - Rehabilitate Auditorium Building 1 Project

NYC DOC	Anna M. Kross Center (AMKC) - New Clinic Holding Pens Construction Project
NYC DOC	Brooklyn House of Detention Complex (BKDC) - Rooftop Exercise Area Modification
NYC DOC	George Motchan Detention Center (GMDC) - Mini Clinic Additions Project
NYC DOC	George R. Vierno Detention Center (GRVC) - Balcony Railing Enclosure Project
NYC DOC	Rose M. Singer Center (RMSC) - Subdivision of the Dayrooms Project
COUNTY JAIL	Saratoga County Jail - Installation of Security Fence Project
	November
NYS DOCCS	Green Haven Correctional Facility - Replace Windows, Doors and Locking Systems 1st Floor J-Block 15 Project
NYS DOCCS	Sullivan Correctional Facility - Replace of Cell Manual Release Components Building #3-Units A, B, C and D Project
NYC DOC	Eric M. Taylor Center (EMTC) - Demo Wood Mod Housing Units and Relocate Patrol Road with Security Fencing and Lighting
NYC DOC	George Motchan Detention Center (GMDC) - Reallocation of Housing Units from RMSC
NYC DOC	George R. Vierno Detention Center (GRVC) - Balcony Railing Closure Surrounding the Dayrooms Project
NYC DOC	Robert N. Davoren Center (RNDC) - East Wing Outdoor Yard Sub-Division Project
COUNTY JAIL	Cattaraugus County Jail - Visitation Room Renovation
COUNTY JAIL	Franklin County Jail - Black Creek Security Upgrade Project
COUNTY JAIL	Orange County Jail - Cell Conversion into Staff Bathroom And establishment of Booking Room into Non-Standard Housing Renovation
COUNTY COURT	Ontario County Courthouse - New Court Holding Construction Project
	December
NYS DOCCS	Eastern Correctional Facility - Replace Toilets & Flush Meters Building 3 and 4 Project
COUNTY JAIL	Cortland County Jail - Request for Approval of Site Selection for New County Jail
LOCAL PD	East Hampton Police Department - Renovate Police Lockup Project

FACILITY PLANNING AND DEVELOPMENT TECHINCAL ASSISTANCE PROVIDED 2015

Brookwood Secure Center-Medication pass through doors modification
Broome County Jail-Medical Unit/Gym Dorm Conversion
Buffalo Police Department-New Female Lockup Area
Cattaraugus County Jail-Pass-through Feeding Door Hatch modification
Chautauqua County Jail-Contact Visitation Tables
Cortland County Jail-New Facility Development
Dutchess County Jail-Temporary Housing Units/New Facility Needs Assessment
Erie County Holding Center-Outdoor Exercise Pens Project
Erie Penitentiary-Visitation Room Modification Project
Franklin County Jail-Security Control System replacement
Greene County Jail-New Jail Committee Meetings
Herkimer County Jail-New Jail Project
Jefferson County Jail-Conversion of indoor Recreation area into a Dorm
Lewis County Jail-Control Room/911 Center Renovation
McCormick Secure Center-Kitchen storage/feed up line security
Montgomery County Jail-Security Fence Upgrade Project
Metro Transit Authority-Grand Central Terminal New Police Lockup
Nassau Police Department-New Police Precinct Lock up
NYC DOC-Various Facilities Main Entrance Renovation and Redesign
NYC DOC-Anna M. Kross Center - Renovation Projects
NYC DOC-Brooklyn Detention Center - Court Separation Pens
NYC DOC-Manhattan Detention Center - Court Separation Pens
NYC DOC-George Motchen Detention Center- New Secure Classrooms
NYC DOC-West Facility-Sprung removal and Perimeter fence relocation
New York Police Department-Bronx (New Horizons) PD building Lockup area
NYS DOCCS-Clinton Correctional Facility Annex – Dorm Renovation
NYS DOCCS-Clinton Correctional Facility- Escape Repairs
Orange County Jail-Medical Unit Exercise Yard Construction
Orange County Jail-Holding Cell Conversion Project
Putnam County Jail–Subdivision of Housing Units
Rockland County Jail-Female Constant watch dorm
Seneca Police Department-New PD Design
Sullivan County Jail-New Jail Design Development.
Town of Greece Police Department-Police and Court Holding Area
Washington County Jail-Rear Entrance Gate Security Enhancements
Westchester County Correctional Facility-New Perimeter Road

NEW JAIL TECHNICAL ASSISTANCE PROGRAM (NITAP)

Cortland County

SCOC staff had preliminary discussions with the Sheriff and Undersheriff on the planning of a new county jail.

Dutchess County

SCOC staff continued work with county officials on the planning of an expansion of the current county jail. This included:

- Assistance in planning and opening four 50-bed modular housing areas on the current jail site

- Meeting with county officials to discuss:
    a. the jail planning and approval process
    b. SCOC's position on various design concepts
    c. Applicable timeframes and milestones

Greene County

SCOC staff worked with county officials as they moved forward with plans to construct a new county jail. Such interaction included:

- Attendance at monthly committee meetings to discuss

    a. Direct Supervision concepts
    b. Position of SCOC on various construction and operationally-related topics
    c. Needs Assessment
    d. Design concepts
    e. Site selection and approval process

In 2015, the Commission approved a site on the grounds of the Coxsackie Correctional Facility.

Herkimer County

Although the planning of a new county jail is in litigation (County of Herkimer v. Village of Herkimer), SCOC have continued discussions with county officials.

Jefferson County

SCOC staff worked with the Jefferson County Sheriff's Office/Correctional Facility in converting an indoor exercise area into a 37-bed dormitory. Such assistance included:

- Identification of the indoor exercise area as a potential housing area
- Designing the dormitory
- Assisting with transition-related tasks, including
    a. Staffing needs

b. Furniture, fixtures, and equipment needs
c. Inmate Classification

Saratoga County

SCOC staff worked with the Saratoga County Sheriff's Office/Correctional Facility in converting part of a chapel into a 12-bed dormitory for inmate workers. Such assistance included:

- Identification of the indoor exercise area as a potential housing area
- Designing the dormitory
- Assisting with transition-related tasks, including
    a. Staffing needs
    b. Furniture, fixtures, and equipment needs
    c. Inmate Classification

Schoharie County

Although the planning of a new county jail still awaits funding approval from the Federal Emergency Management Agency, SCOC staff have continued discussions with county officials. Further, the Commission continues to authorize the county to house its inmates in neighboring counties, as the current jail is uninhabitable due to flooding in 2001.

Sullivan County

SCOC staff continued to work with county officials as they progressed in the planning of a new county jail. Such assistance included technical assistance reviews of schematic drawings and discussions of same in meetings in Sullivan County.

TECHNICAL ASSISTANCE

SCOC staff provided technical assistance related to the improvement of operations to the following facilities:

Chautauqua County Jail	Inmate Classification and Grievance Program workshops
Dutchess County Jail	Follow-up to previous Inmate Classification workshop
Franklin County Jail	Security operations
Jefferson County Jail	Inmate Classification workshop
Livingston County Jail	Inmate Classification and Grievance Program workshops
Orange County Jail	Grievance Program workshop
Seneca County Jail	Inmate Discipline workshop
St. Lawrence County Jail	Inmate Grievance Processing
Tompkins County Jail	Inmate Classification and Discipline workshops
Westchester County Jail	Constant Supervision of inmates (identifying appropriate cells)
Town of Poughkeepsie Police Department	Supervision of Female Prisoners

- SCOC staff who are Subject Matter Experts provided assistance to the NYS Department of Civil Service in reviewing and preparing exam questions and appeals.

- SCOC staff delivered three Information Gathering Sessions in Oriskany, White Plains, and Buffalo to assist in the development of a Grievance Webinar. During these sessions, SCOC staff provided assistance which focused on inmate grievance programs.

STAFFING SERVICES

Commission Minimum Standards, 9 NYCRR Part 7041, Staffing Requirements, provide that the Commission shall determine the minimum number of staff necessary to operate each correctional facility in New York. Upstate county jails and New York City correctional facilities are unique institutions with wide variation in size, age, and configuration. Each must be staffed at a cost-effective level that provides for adequate levels of inmate supervision and for staff and public safety. The Commission provides the correctional staffing services for all county correctional facilities and more recently, for the City of New York. Commission staffing specialists travel to facilities upon local government request, and to all newly constructed facilities. The staffing assessment is based on specific correctional functions, physical plant configuration, inmate population trends, and other factors unique to a particular correctional facility. Detailed guidelines direct staff in developing a facility staffing plan. A proposed minimum institutional position and staffing analysis is prepared, and then negotiated to consensus with county legislative officials, the county executive, sheriff, or commissioner. The Commission affords timely security staffing expertise not available in localities, eases the local criminal justice budget process and promotes local government confidence in the safety of widely disparate facilities.

During the 2015 reporting period, the revision of six (6) staffing analyses was finalized by Field Operations staff:

- Dutchess County Jail Staffing Revised Position and Staffing Analysis (Increase)
- Ontario County Jail Staffing Revised Position and Staffing Analysis (Increase)
- Westchester County DOC Staffing Revised Position and Staffing Analysis (Increase)
- Yates County Jail Staffing Revised Position and Staffing Analysis (Decrease)

During the 2015 reporting period, the following jails were provided with technical assistance by Commission staff regarding issues related to staffing coverage:

- Onondaga Justice Center (Constant Watch)
- Orange County Jail (Constant Watch)
- Monroe County Jail
- Rensselaer County Jail

CHAIRMAN'S MEMORANDA

The Commission is a key player in the delivery of salient correctional management information and education to county correctional facilities. The Chairman's Memorandum, a bulletin that is sent to all county sheriffs and administrators of correctional facilities and police departments, is an efficient vehicle for the delivery of such information. In 2014, the following Memoranda were issued:

- Chairman's Memorandum # 1 — Electronic Prescriptions
- Chairman's Memorandum # 2 — Changes to Correction Module on IT Portal
- Chairman's Memorandum # 3 — Electronic Prescriptions
- Chairman's Memorandum # 4 — Substitute Jail Orders
- Chairman's Memorandum # 5 — Grievance Webinar Development Sessions
- Chairman's Memorandum # 6 — Upgrade of SharePoint for grievances and complaints
- Chairman's Memorandum # 7 — Electronic submission of reportable incidents via the eJustice Portal
- Chairman's Memorandum # 8 — New Grievance Form
- Chairman's Memorandum # 9 — Updated Reportable Incident Manual
- Chairman's Memorandum # 10 — Lockup Incident Reporting

FIELD INVESTIGATIONS (NON-MEDICAL RELATED)

- Erie County Sheriff's Office-staff continued the investigation of the December 16, 2014 escape of an inmate from the custody of the Erie County Sheriff's Office.
- Monitoring during initial custody of inmate David Sweat
- Clinton County Correctional Facility- Monitoring for inmate Joyce Mitchell
- Rensselaer County Jail- Monitoring for inmate Joyce Mitchell

FORENSIC MEDICAL UNIT

The Forensic Medical Unit is a Field Operations unit within the State Commission of Correction. This unit is intended to fulfill the health care oversight mandate of Correction Law, Article 3 by providing the SCOC and its Medical Review Board (MRB) with expertise in the investigation of inmate mortality, the evaluation of State and local correctional facility health care delivery. The unit also provides technical assistance to state and local correctional facilities for the improvement of health care delivery. Technical assistance is regularly provided in the development of health care delivery procedures including tuberculosis control, occupational health and safety, health care staffing, health facility designs and handling of pharmaceuticals among others. Each year a number of New York's jails are visited by trained health care system evaluators. Health care delivery systems are evaluated in each facility, recommendations are made and technical assistance is rendered. The Forensic Medical Unit also presents its cases to the MRB, investigates medical grievances and complaints, and conducts various special investigations as directed by the Commission. This includes the following activities:

- Field mortality investigation;
- Health services evaluation;
- Standards development and compliance;
- Resolution of complaints and grievances;
- Collection and interpretation of data and statistics;
- Development and administration of technical assistance programs; and
- Policy formulation and advice.

Mortality Investigations

The State Commission of Correction's Forensic Unit conducted twenty-two (22) mortality and nineteen (19) abridged mortality investigations. Those cases and their corresponding facilities are as follows:

Mortality Investigations (Full Board Cases):

Robert Doran/Attica Correctional Facility #12-M-155
Dennis Reyes/Great Meadow Correctional Facility #13-M-129
Frances Donnelly/Rockland County Jail #14-M-001
Luis Alvarado-Ortiz/Chautauqua County Jail #13-M-126
Rashad McNulty/Westchester County Jail #13-M-021
Manuel Rodriguez/Mid-State Correctional Facility #14-M-005
Kevin Brown/Nassau County Jail #14-M-023
John Gleeson/Nassau County Jail #14-M-096
Mark Johnson/NYC DOC-OBCC #13-M-079
William Rodriguez/Great Meadow Correctional Facility #13-M-104
Jack Franqui/Suffolk County Policy Department 7th Precinct #13-M-014
Kyam Livingston/NYPD Brooklyn Court #14-M-106
Dwayne Calder/NYC DOC-GMDC #12-M-141
Lisa Dietz/Niagara County Jail #14-M-131
James Argento/Genesee County Jail #14-M-097
Vincent Lentini/NYC DOC - AMKC #13-M-157
Michael Williams/Albany County Jail #14-M-047
Jeffrey Deats/Genesee County Jail #14-M-172
Christopher Colon/Orange County Jail #14-M-027
Kenneth McConville/Montgomery County Jail #14-M-062
Nathaniel Banks/Chautauqua County Jail #14-M-152
Marteliion Ham/Chautauqua County Jail Special Investigation

Abridged MRB Mortality Cases

James Freeman/Cattaraugus County Jail #14-M-048
Oliver Davis/Great Meadow Correctional Facility #13-M-010
Robert Gallo/Clinton Correctional Facility #13-M-165
Antwan Brown/Nassau County Jail #12-M-004
Jeffery Johnson/Green Haven Correctional Facility #12-M-158
Kyle Shore/Auburn Correctional Facility #13-M-198
Rodney Perry/Attica Correctional Facility #13-M-175
Rosilee Mendez/Erie County Holding Center #14-M-114
Apolinar Solis/NYC DOC – Otis Bantum Correctional Facility #13-M-176
Jon Massey/Jefferson County Jail #14-M-035
John Rose/Attica Correctional Facility #14-M-020

Thomas Herman/Suffolk County Jail #15-M-046
Saleem Ali/Attica Correctional Facility #13-M-183
Glendon Jackson/Attica Correctional Facility #13-M-184
James Hadley/Great Meadow Correctional Facility #14-M-004
Robert Passero/Auburn Correctional Facility #14-M-010
Cindy Fletcher/Bedford Hills Correctional Facility #14-M-034
Jason Echevarria/NYC DOC – George R. Vierno Center #12-M-104
Angel Ramirez/NYC DOC – Anna M. Kross Center #11-M-086

Technical Assistance - Health Care Evaluations

Technical assistance in the form of health services evaluations is typically provided in response to a formal request from a county correctional facility. It may also be provided as a result of findings of chronically inadequate healthcare delivery. In 2015, the following facilities received such technical assistance.

Franklin County Jail
Saratoga County Jail
Westchester County Jail

Construction or Expansion of Health Care Facilities

Broome County Jail-Infirmary
Rockland County Jail-Constant Supervision
Schenectady County Jail-Intake

Monitoring

Clinton County Jail & Rensselaer County Jail-Clinton Correctional Facility Escape Incident
Medical/Mental Health Local Facilities Reportable Incidents
Medical/Mental Health PD Reportable Incidents
Nassau County Jail's Weekly BHU Medical
Nassau County Jail's Weekly D2D (medical tier)
NYS DOCCS-Self Inflict Injuries/Suicide Attempts
Suffolk County Jail's Weekly BHU Medical

Training Attended

AMC HIV Teleconferences
Omnisure Webinars
Suicide Prevention T-T-T

Interagency

New York State AIDS Institute
New York State Department of Corrections and Community Supervision Health Services
New York State Department of Corrections and Community Supervision
New York State Department of Health - Office of Professional Medical Conduct
New York State Education - Office of the Professions
New York State Office of Attorney General – Healthcare Bureau
New York State Office of Mental Health

Mental Health and Suicide Prevention

During the 1970's and early 1980's, suicide was the leading cause of death in county jails and preventable suicide emerged as a major source of successful litigation against local government. The Commission, in conjunction with the NYS Office of Mental Health (OMH), researched, developed, and obtained federal financing for a state-of-the-art suicide prevention program that has yielded a sustained annual reduction in suicide of more than 70 percent, despite extraordinary increases in local inmate populations. The Commission and OMH deliver suicide prevention training to all incoming correction officers, either directly, or by offering training to established correctional staff who, in turn, trains their own incoming correction officers. The training conducted for incoming correction officers is an intensive eight hour module.

Beginning in 2010, the Commission and OMH completed a comprehensive training curriculum revision, the first revision since 2000. New training manuals, screening guidelines, and videos were produced. An initial pilot training was conducted with county corrections and mental health instructions in June 2011. The new curriculum was revised and screening guidelines were officially released in November 2011. The first full instructor course was conducted in December 2011 for the New York State Department of Corrections and Community Supervision.

Inmate Mortality:

The following table lists the numbers and causes of death for inmates in all correctional facilities in New York State during the year 2015. The Commission receives notice of each of these deaths within six (6) hours of occurrence. Each case is separately investigated by the Commission's Forensic Medical Unit. Problematic cases are investigated in the field, carefully documented, presented to the Medical Review Board and published. In 2015, the Board and Commission published twenty-two (22) problematic cases in which recommendations to prevent similar recurrence and to improve medical and mental health care were made to correctional facility administrators.

Reported Inmate Mortality - 2015

	SUICIDE	AIDS	NATURAL	HOMICIDE	UNKNOWN	OTHER	TOTAL
NYS DOCCS	10	0	91	6	9	0	116
COUNTY JAILS	8	0	6	1	8	0	23
NYCDOC	2	0	8	0	2	0	12
POLICE LOCKUPS	0	0	0	0	1	2	3
NYCPD PRECINCTS	2	0	0	0	1	0	3
TOTAL	22	0	105	7	21	2	157

COUNSEL'S OFFICE

The Office of Counsel is responsible for the interpretation and analysis of all legal issues related to the work of the Commission. Part of this function includes the coordination of the Commission's legislative program, including the submission and review of legislation affecting the correctional and criminal justice system in New York State. The Commission's Office of Counsel continues to work collaboratively with the administrations of local correctional facilities on issues of mutual concern. Further, the Office of Counsel acts in an enforcement capacity with regard to actions to compel correctional facility compliance with minimum standard regulations, and acts as a liaison to the Attorney General's Office for all other agency-related litigation.

In addition to all the above listed functions, Counsel's Office has developed a technical assistance approach to correctional jurisdictions by providing legal opinions to sheriffs and jail administrators.

Regulatory Reform

In 2015, the Commission amended sections 7022.3, 7022.4, 7406.3, 7406.4 and 7508.2 of Title 9 NYCRR to allow for the electronic filing of reportable incidents to the Commission from correctional facilities.

Pursuant to State Administrative Procedure Act §207, the Commission carried out the required regulatory review of all rules adopted in calendar years 2000, 2005 and 2010. After publishing notice and an invitation for public comment, said rules were continued without amendment.

Legislation Summary

The following legislative proposals were made to the Governor's Counsel's Office for the 2015-2016 session:

1.) Amending County Law sections 674 and 677 and Correction Law section 46 to clarify the existing statutory duty of coroners and medical examiners to promptly provide an inmate's autopsy and toxicology report to the Commission's Medical Review Board. On November 20, 2015, Governor Cuomo signed the legislation into law as Chapter 490 of the Laws of 2015.

2.) Amending Correction Law §501 to allow for the provision of inmate health care services in county jails via contract with medical professional corporations.

3.) Amending Correction Law §500-b to allow county jails to comply with the Prison Rape Elimination Act (PREA) relative to the classification of transgender and intersex inmates.

4.) Amending Correction Law §43 to exclude records of the proceedings and the deliberations of the Correction Medical Review Board from disclosure under Article 31 of the Civil Practice Law and Rules (CPLR).

Litigation

In the course of the year, one (1) case was closed and one (1) was pending as of the end of 2015.

Legal Opinions

In 2015, Counsel's Office rendered 95 legal opinions by telephone and eight (8) legal opinions in writing.

Freedom of Information Law (FOIL) Requests

For the year 2015, the Office of Counsel received and responded to 262 Freedom of Information Law (FOIL) requests for records of the Commission of Correction.

Juvenile Justice

In 2015, Counsel's Office continued to provide guidance and assistance to the Field Operations Bureau with the Commission's duty of monitoring the state's compliance with the Juvenile Justice and Delinquency Prevention Act (JJDPA).